



Seismic Hazard and Risk Assessment for Induced Seismicity

Ellen M. Rathje, PhD, PE, F.ASCE

Janet S. Cockrell Centennial Chair in Engineering

Department of Civil, Architectural, and Environmental Engineering, University of Texas, Austin

Prof. Patricia Clayton, Prof. Brady Cox

Iason Grigoratos, Farid Khosravikia, Meibai Li, Michael Yust

Department of Civil, Architectural, and Environmental Engineering, University of Texas, Austin

Dr. Alexandros Savvaidis

Bureau of Economic Geology, University of Texas, Austin



The University of Texas at Austin
Civil, Architectural and
Environmental Engineering



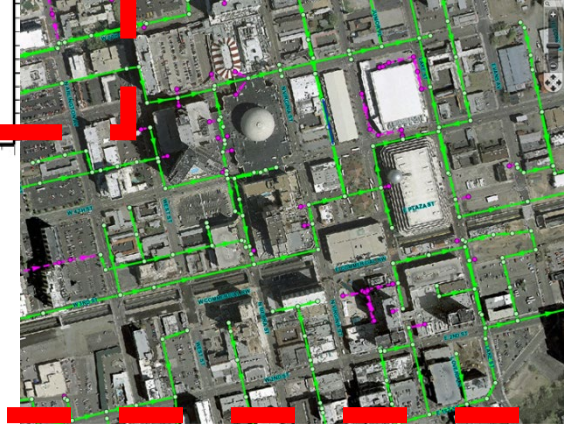
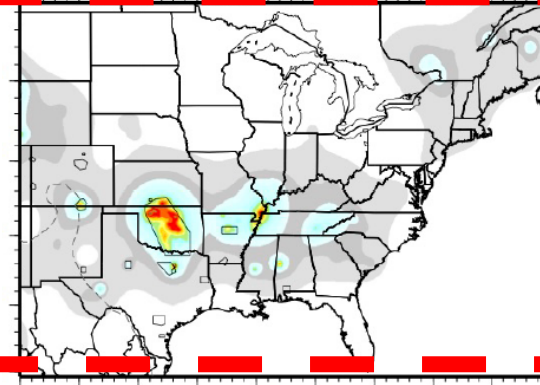
BUREAU OF
ECONOMIC
GEOLOGY

Seismic Risk Assessment

Risk = Hazard

Measure of ground shaking and its probability

Rathje, Grigoratos, Cox, Li, Yust, Savvaidis



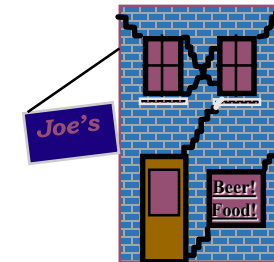
x Exposure

Characterization of built environment and inhabitants

Clayton, Khosravikia, Rathje, Grigoratos

x Vulnerability

Susceptibility of the exposure to damage/undesirable consequences



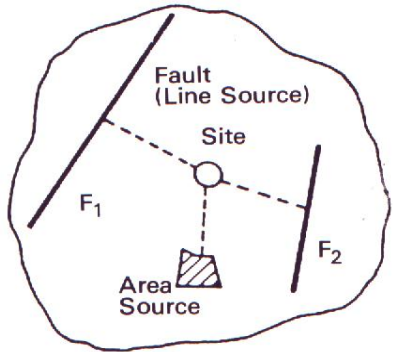
x Consequences

\$\$, number of people adversely affected

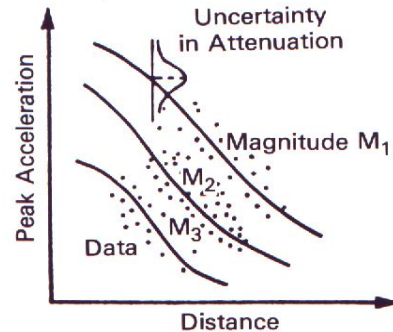


Seismic Hazard Assessment

$$\text{Risk} = \text{Hazard} \times \text{Exposure} \times \text{Fragility} \times \text{Consequences}$$



Step 1
SOURCES



Step 3
GROUND MOTION

Seismic Source Characterization

Requires:

- Rate of earthquakes
- Magnitude (M) distribution
- Locations

For tectonic EQs:

- Stationary
- Use historical EQ catalog

For induced EQs:

- Time-dependent
- Relate seismicity to oil/gas operations (e.g., injection)

Ground Motion Characterization

Requires:

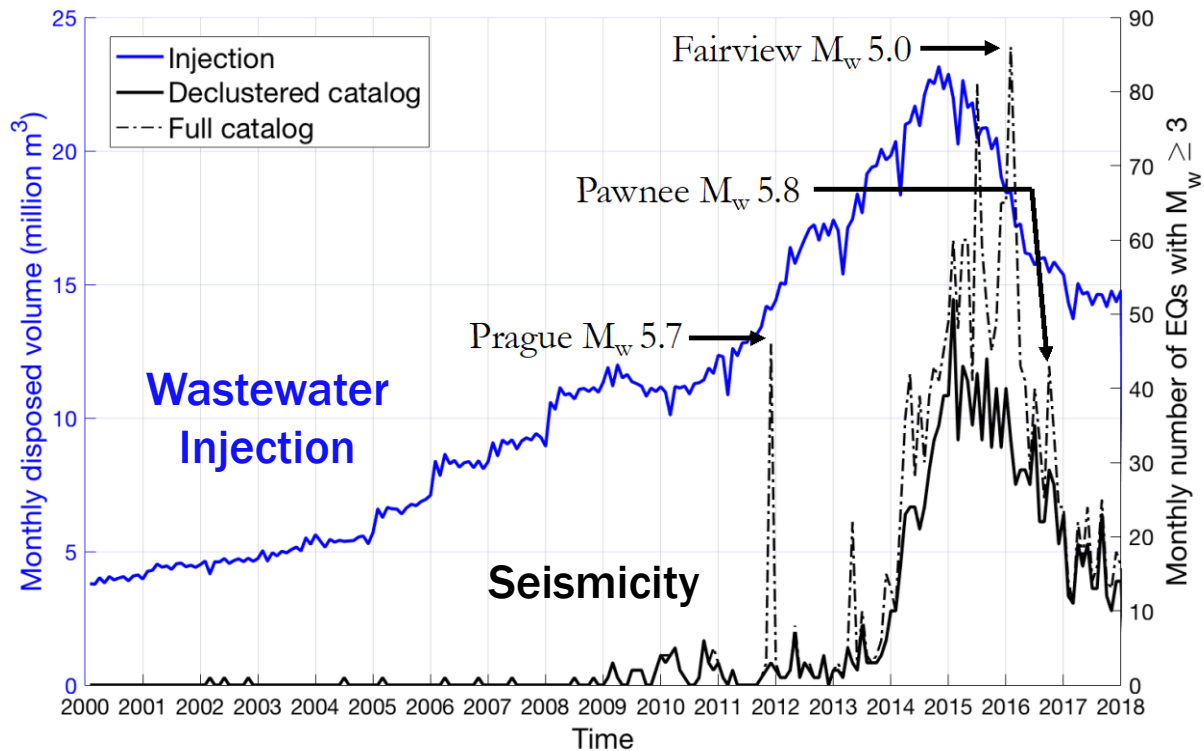
- Ground shaking as a function of M, distance (R), and soil/rock conditions (V_{s30})
- Variability

For induced EQs:

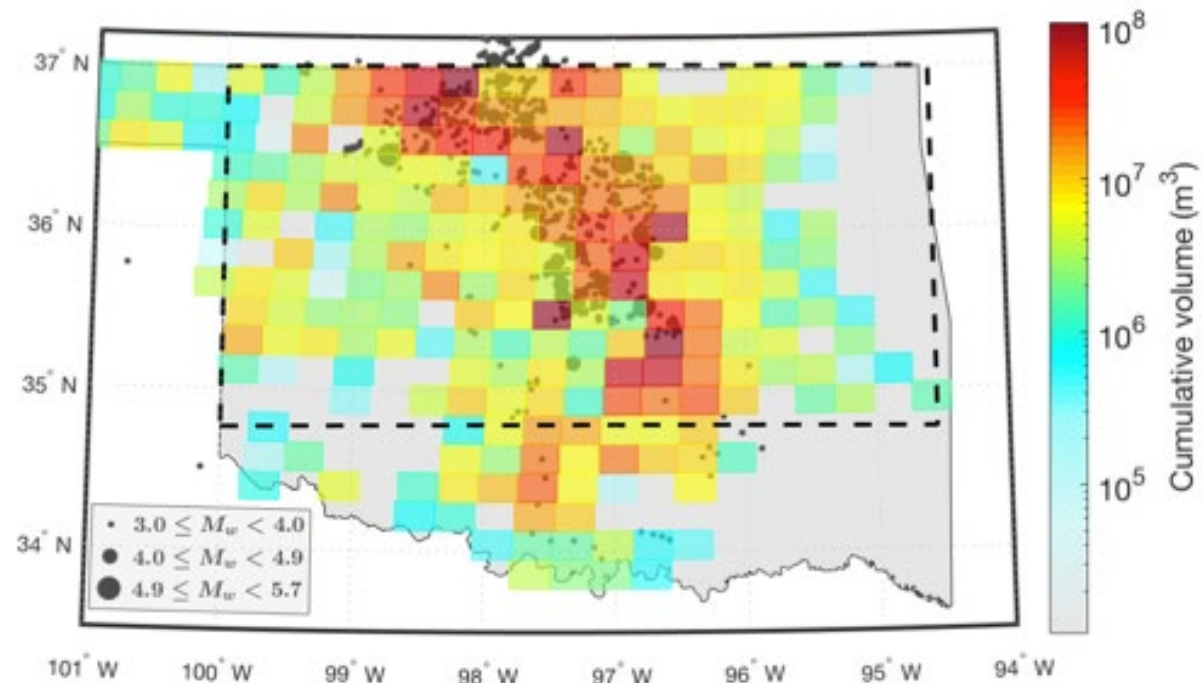
Use recordings from events in geologically similar regions

Temporal and Spatial Variations in Seismicity: Oklahoma

Temporal

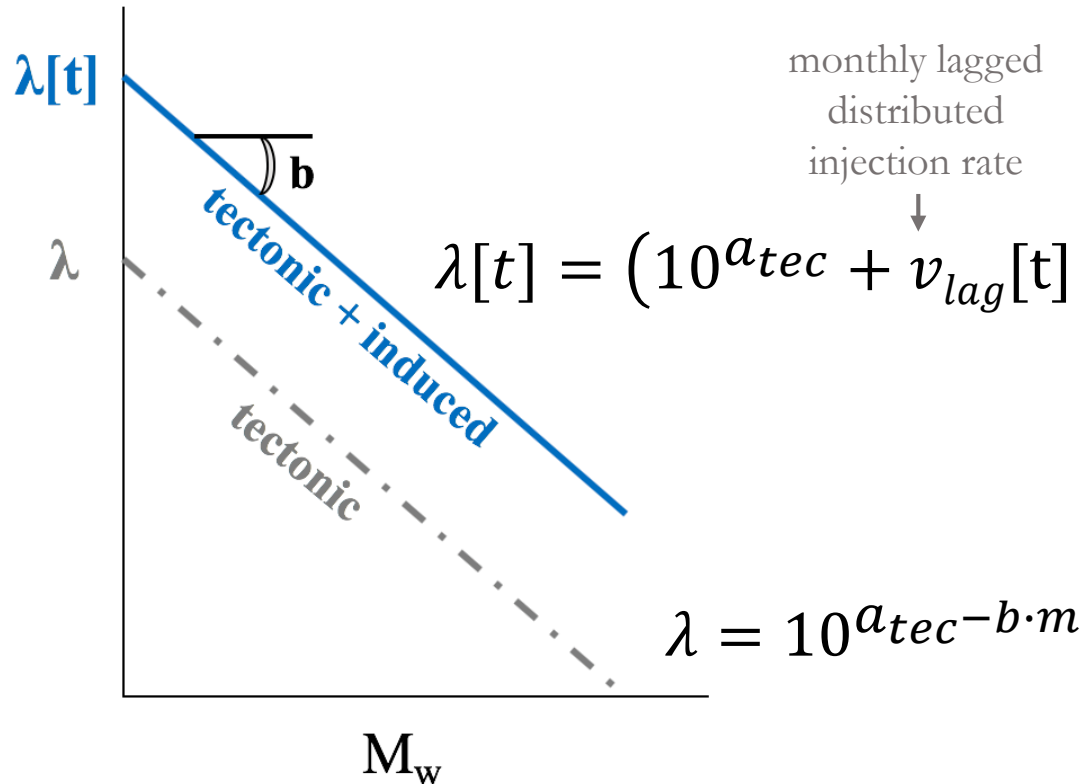


Spatial



Time-Dependent Gutenberg-Richter Relationship

Semi-empirical model with parameters derived from seismicity and injection data



monthly lagged
distributed
injection rate

Seismogenic
Index

$$\lambda[t] = (10^{a_{tec}} + v_{lag}[t] \cdot 10^{\Sigma}) \cdot 10^{-b \cdot m}$$

with $t_{lag}[t] = \frac{\theta}{v[t]}$ ← monthly distributed injection rate

Free parameters:

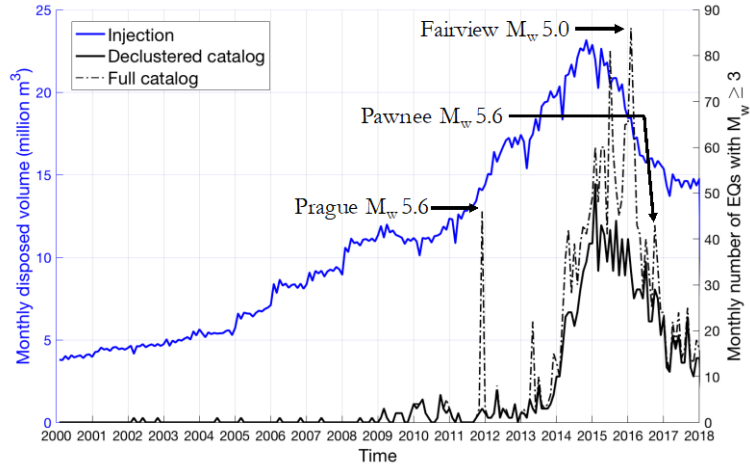
θ and Σ from monthly injection and seismicity data
 a_{tec} and b from background seismicity (<2009)

Spatial and temporal resolution:

Monthly injection/seismicity for 5-km x 5-km blocks

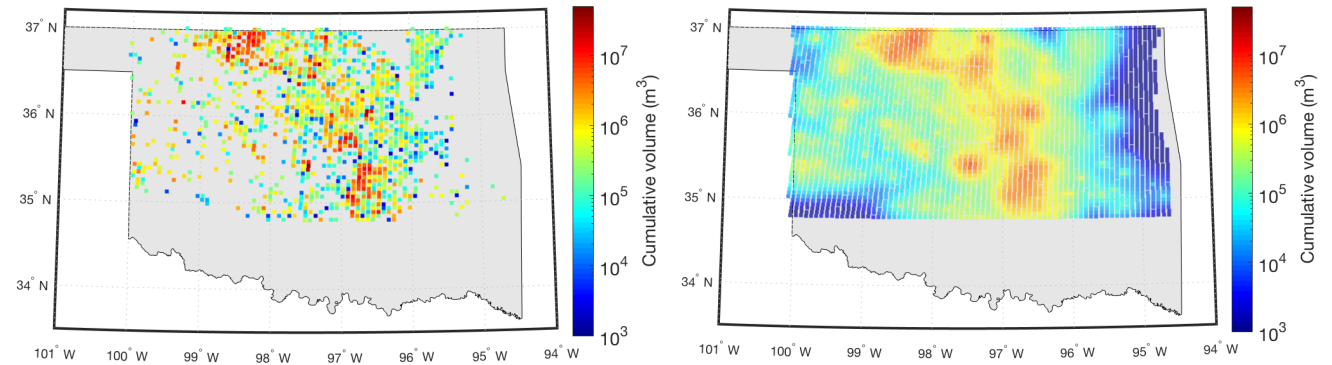
Application to Oklahoma

Monthly EQs and injection volumes 2000-2018

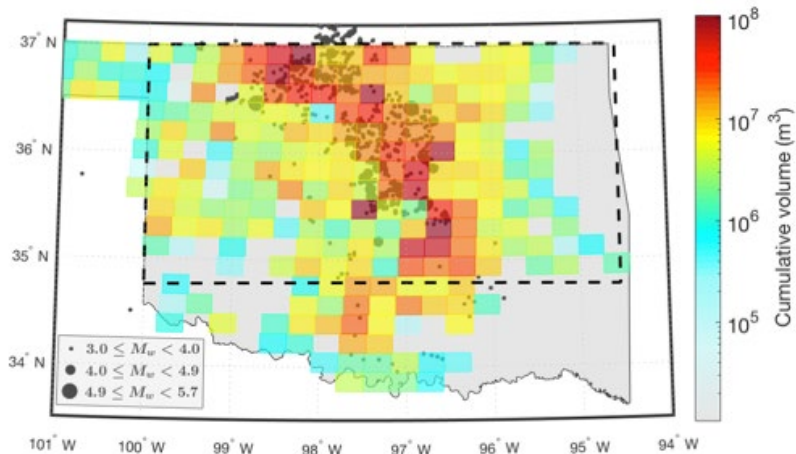


Gridded and Spatially Distributed Injection Volumes

Cumulative 2006-2018

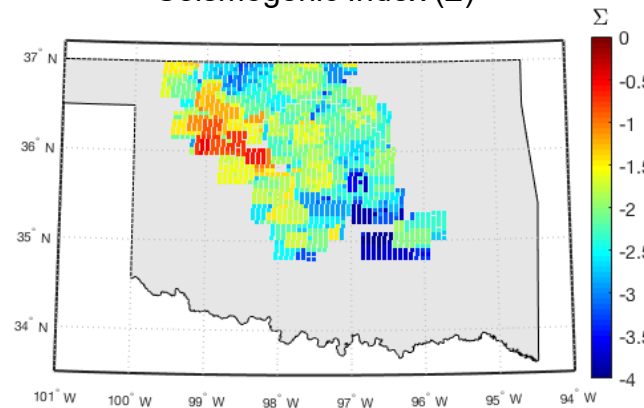


Cumulative injection volume (m³) 2006-2018

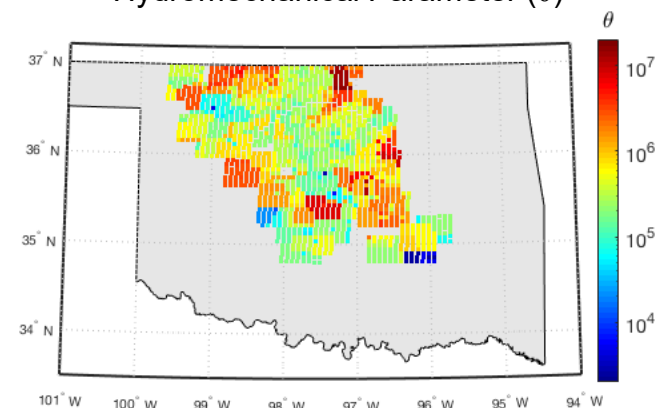


Spatially Varying Model Parameters

Seismogenic Index (Σ)



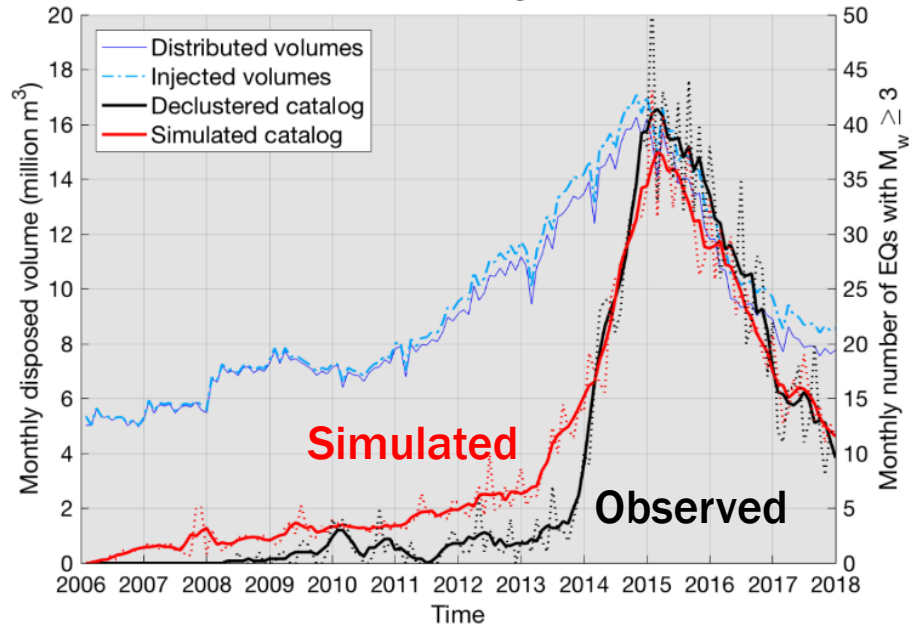
Hydromechanical Parameter (θ)



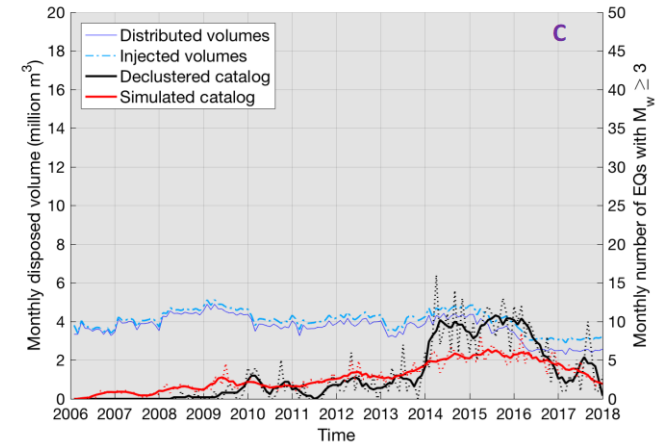
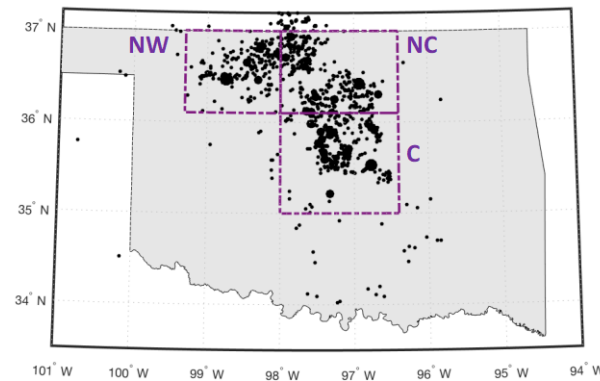
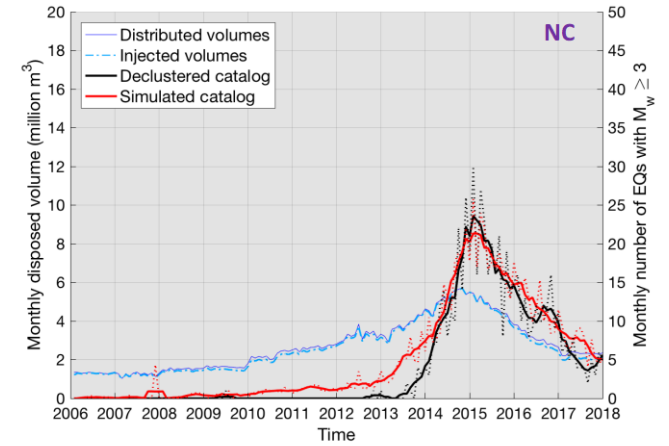
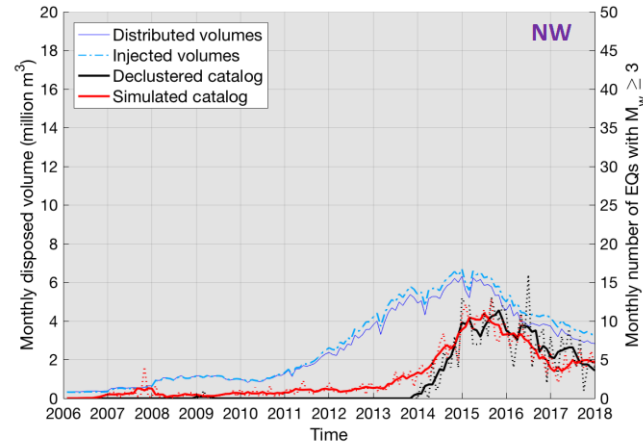
Simulated seismicity rates

Calibration: Jan 2006 – Dec 2017

Full Study Area

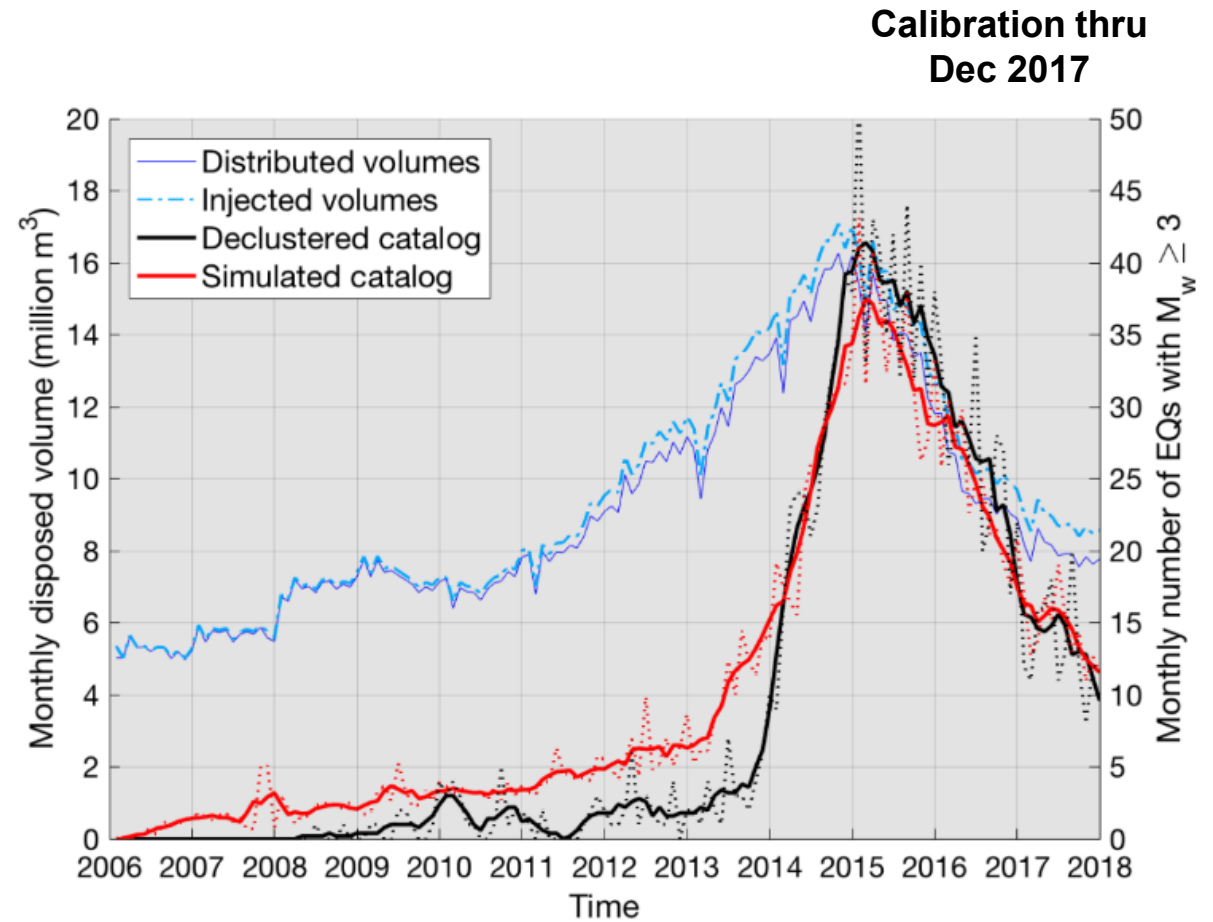
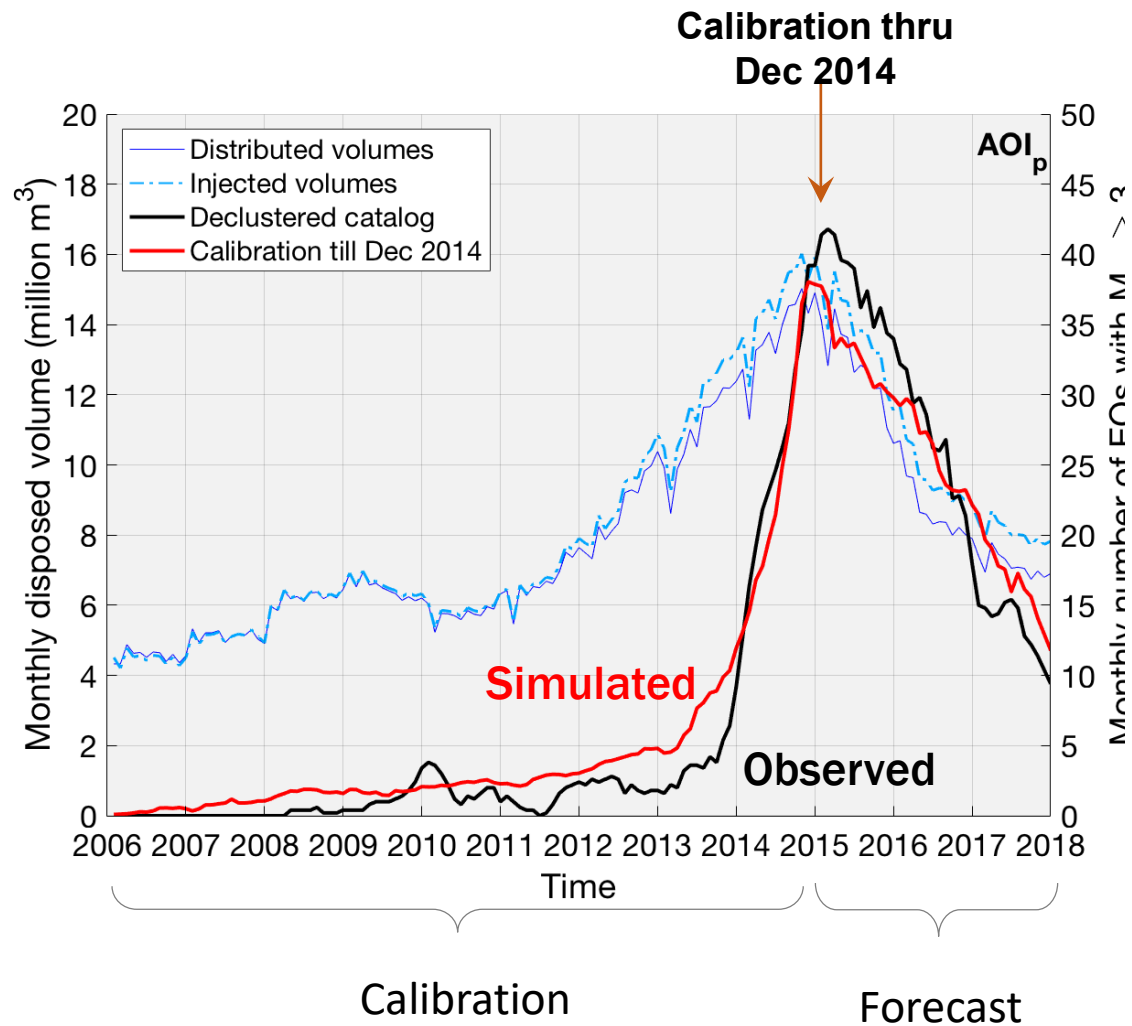


Sub-Regions



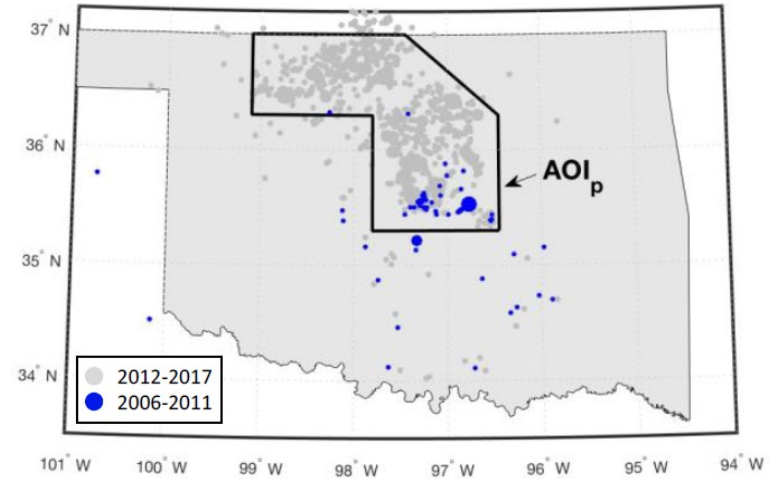
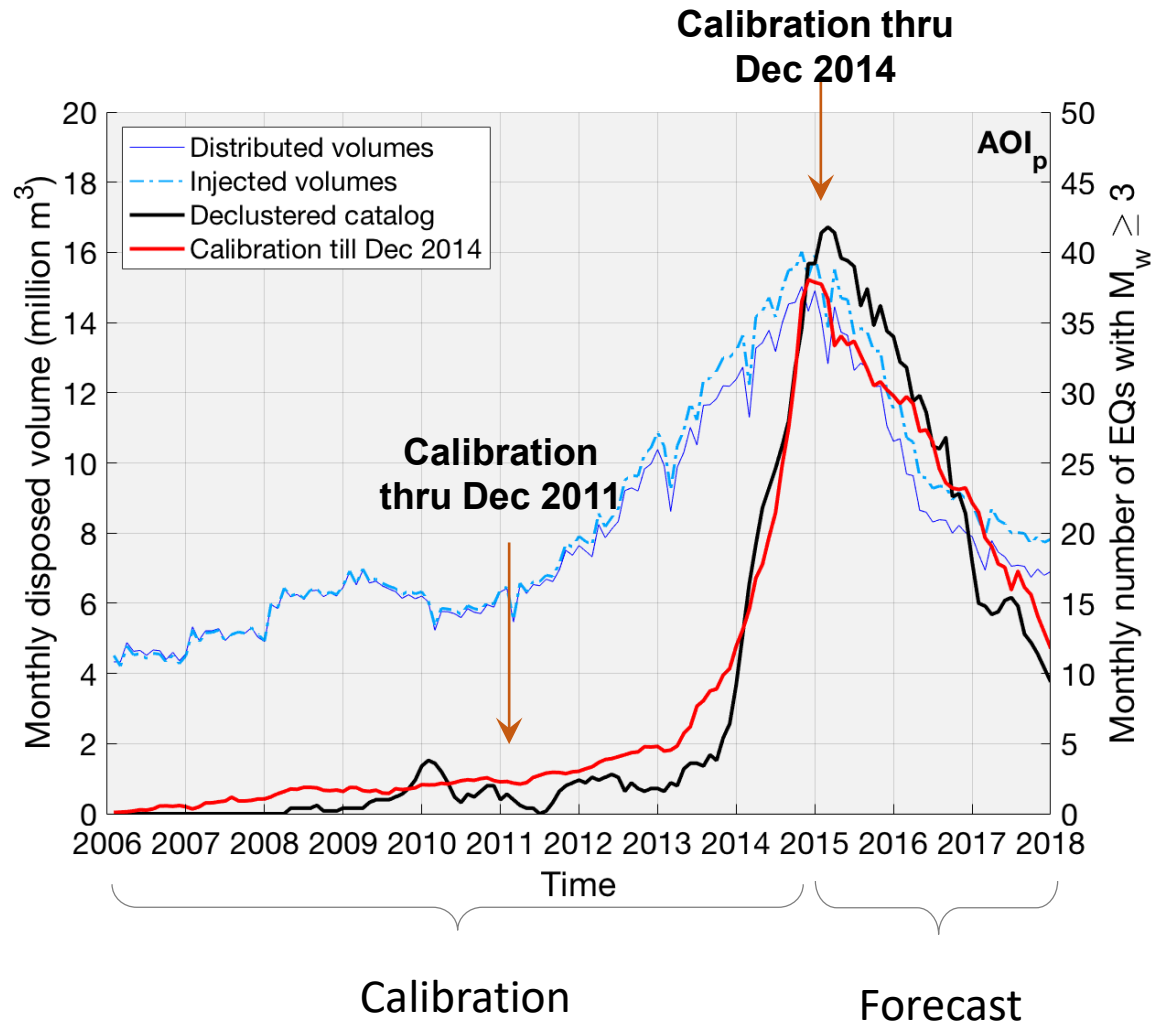
Hindcasting: calibrate parameters through Dec 2014

Model performance when we calibrate parameters thru Dec 2014 and then forecast the EQs, given the injection rates

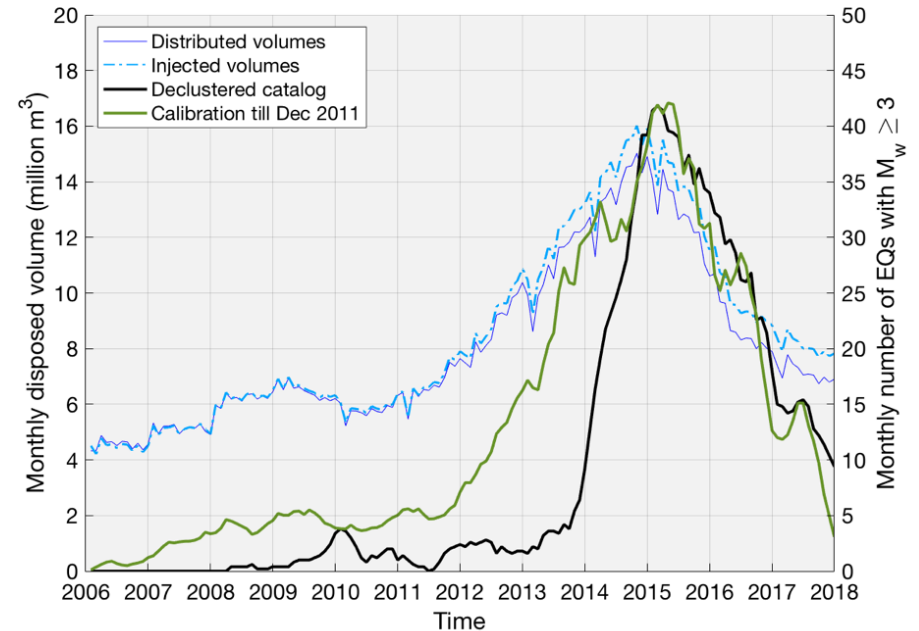


Hindcasting: calibrate parameters through ****Dec 2011****

Model performance when we calibrate parameters thru Dec 2011 and then forecast the EQs, given the injection rates



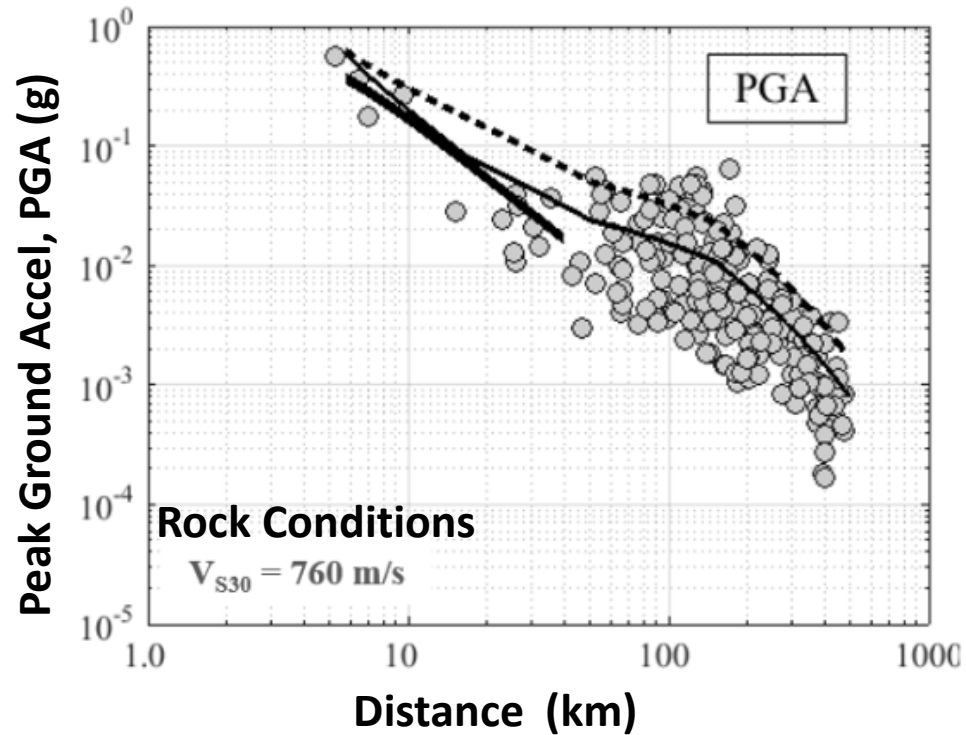
We need to spatially extrapolate Σ and θ



Ground Motion Characterization

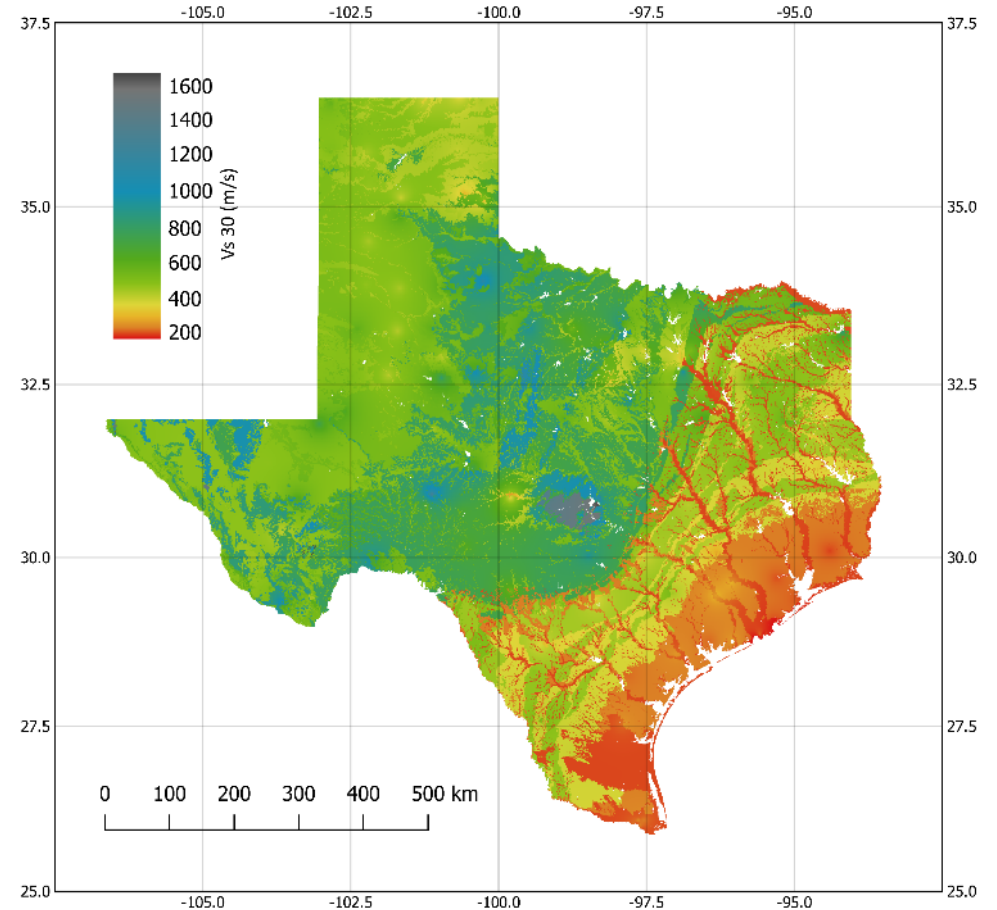
Empirical Ground Motion Model (GMM)

Using data from Texas, Oklahoma, and Kansas



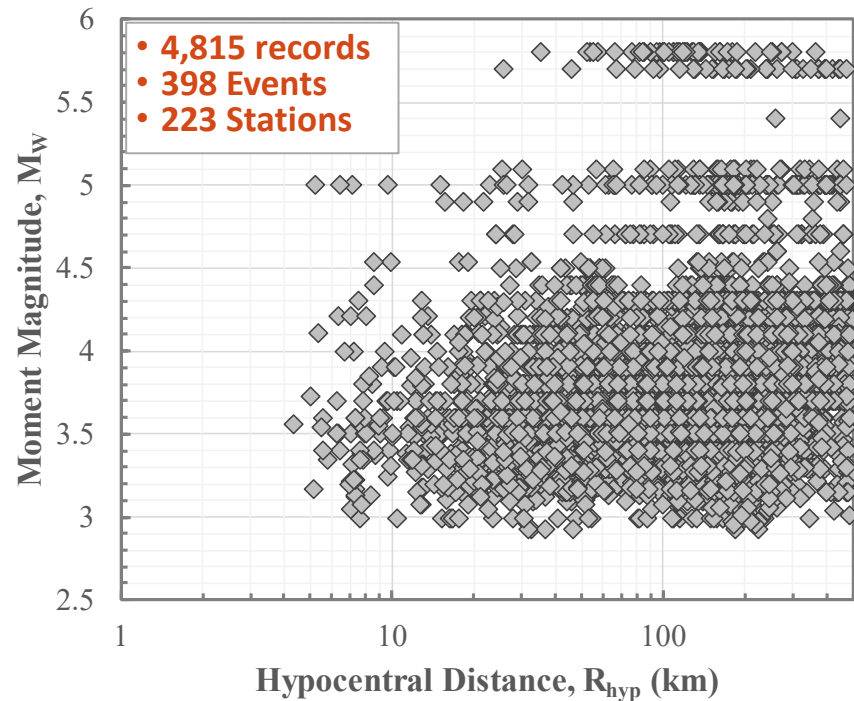
Zalachoris and Rathje (2019) *EQ Spectra*

Characterization of Shear Wave Velocity (V_{s30})

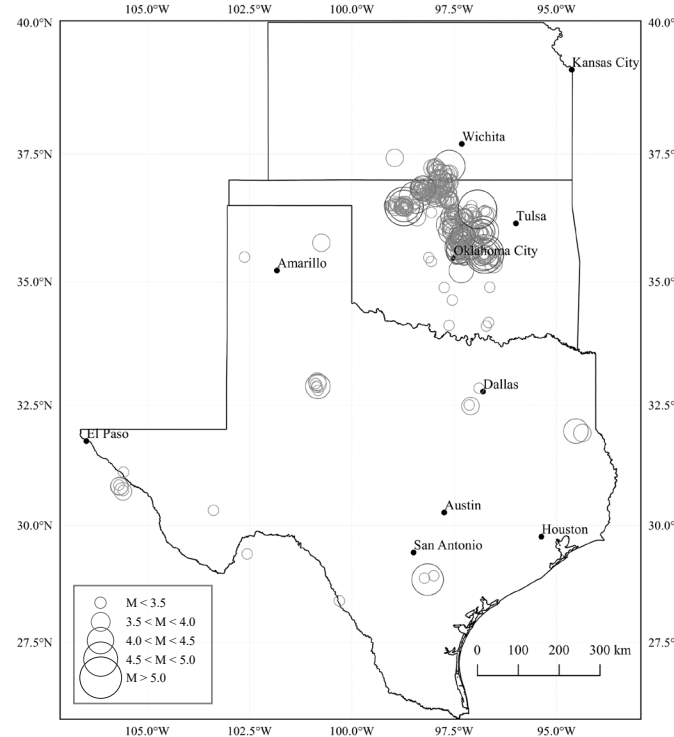


Ground Motion Model (GMM) Development

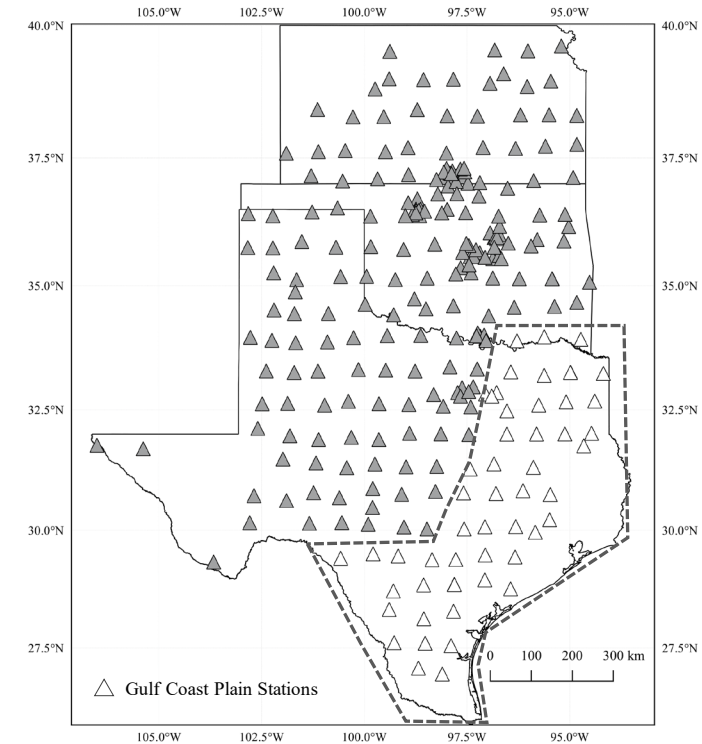
- Events in TX, OK and KS with $M > 3.0$ between 2005-2017
- Recordings from TX, OK and KS seismic stations (past and existing)



Events



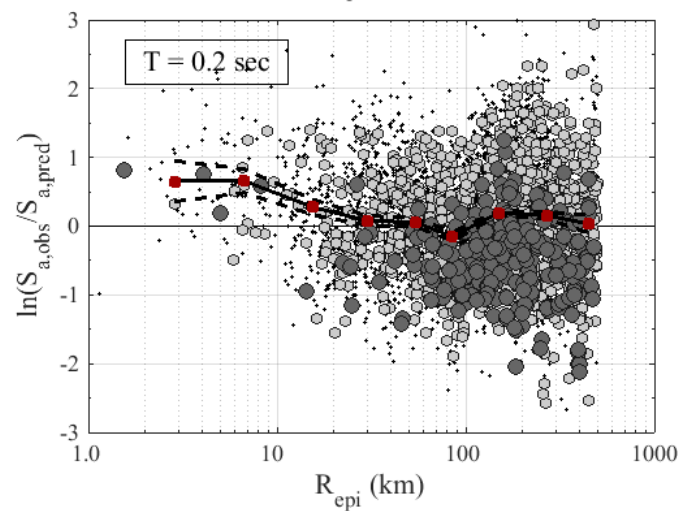
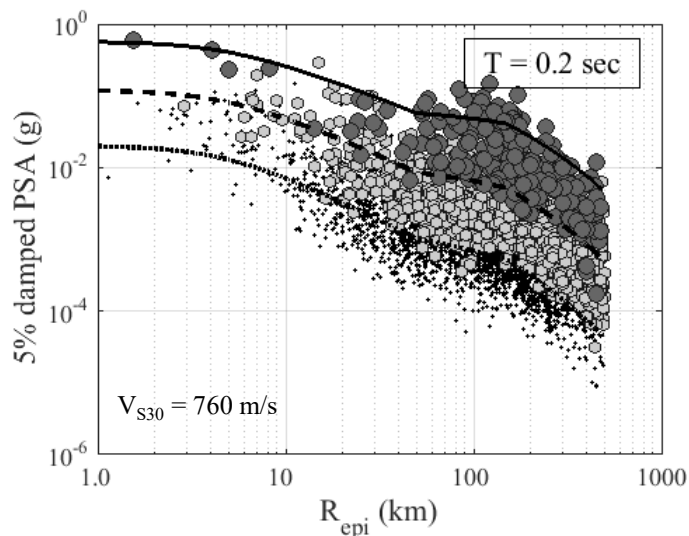
Stations



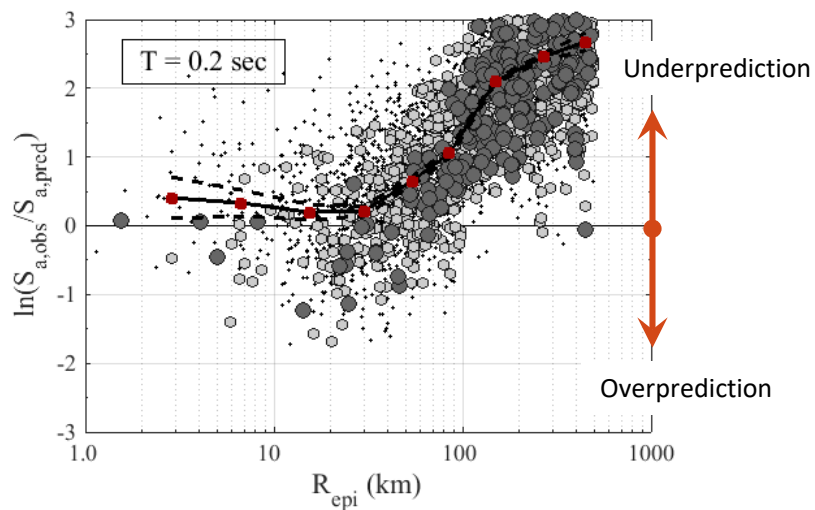
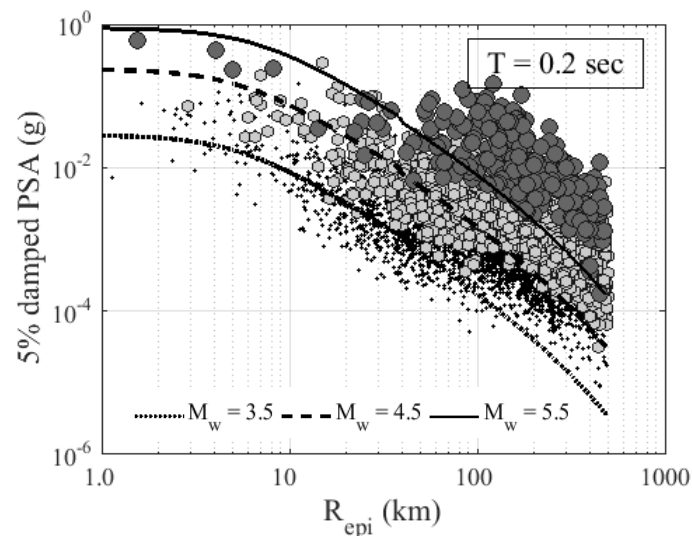
Zalachoris and Rathje (2019) *EQ Spectra*

Assessment of Existing GMMs

Hassani & Atkinson:
NGA-East (2015)



Atkinson (2015): Potentially
Induced EQs (PIEs)



Reference Empirical Approach

- Develop empirical adjustment for Hassani and Atkinson (2015) GMM using TX-OK-KS ground motion data

Adjustment Factors

At each frequency, f :

Overall Adjustment: $C = const.$

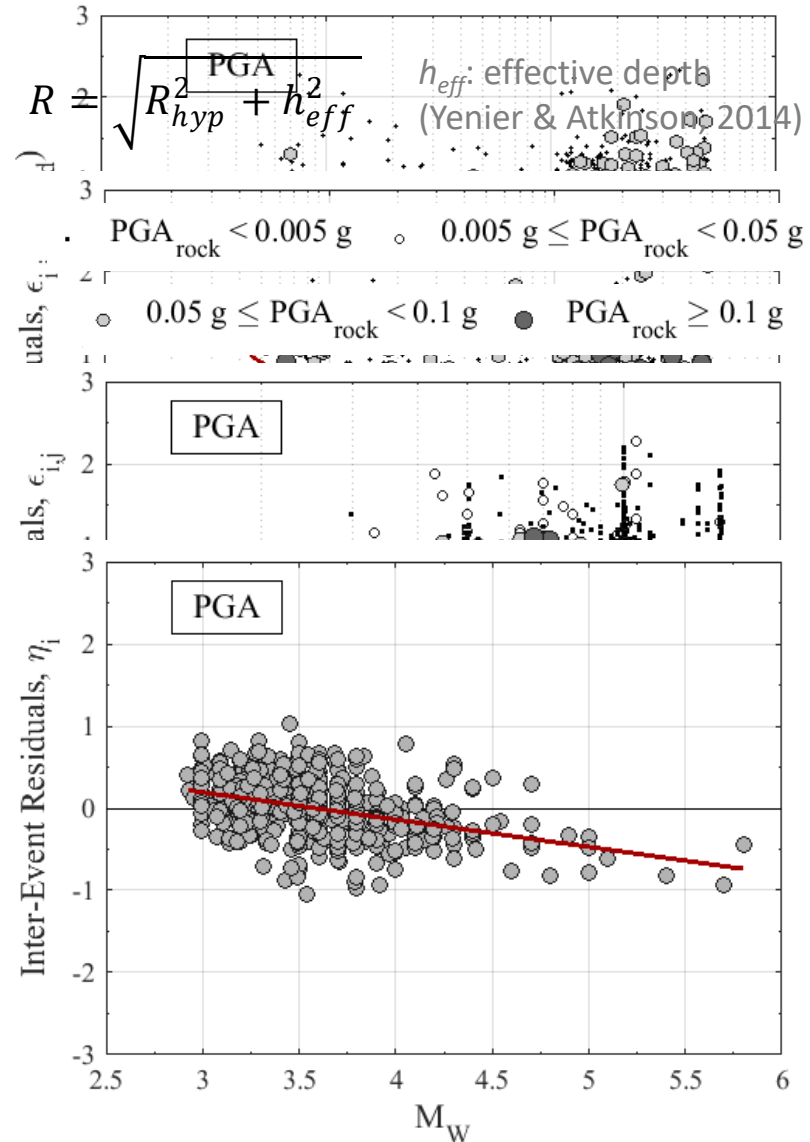
$$\text{Distance Scaling: } F_R = \begin{cases} 0 & R \geq R_b \\ a \cdot \ln\left(\frac{R}{R_b}\right) & R < R_b \end{cases}$$

$$V_{S30} \text{ Scaling: } F_S = \begin{cases} 0 & V_{S30} > V_C^* \\ c^* \cdot \ln\left(\frac{V_{S30}}{V_C^*}\right) & V_{S30} \leq V_C^* \end{cases}$$

$$\text{Magnitude Scaling: } F_M = b_0 + b_1 \cdot M$$

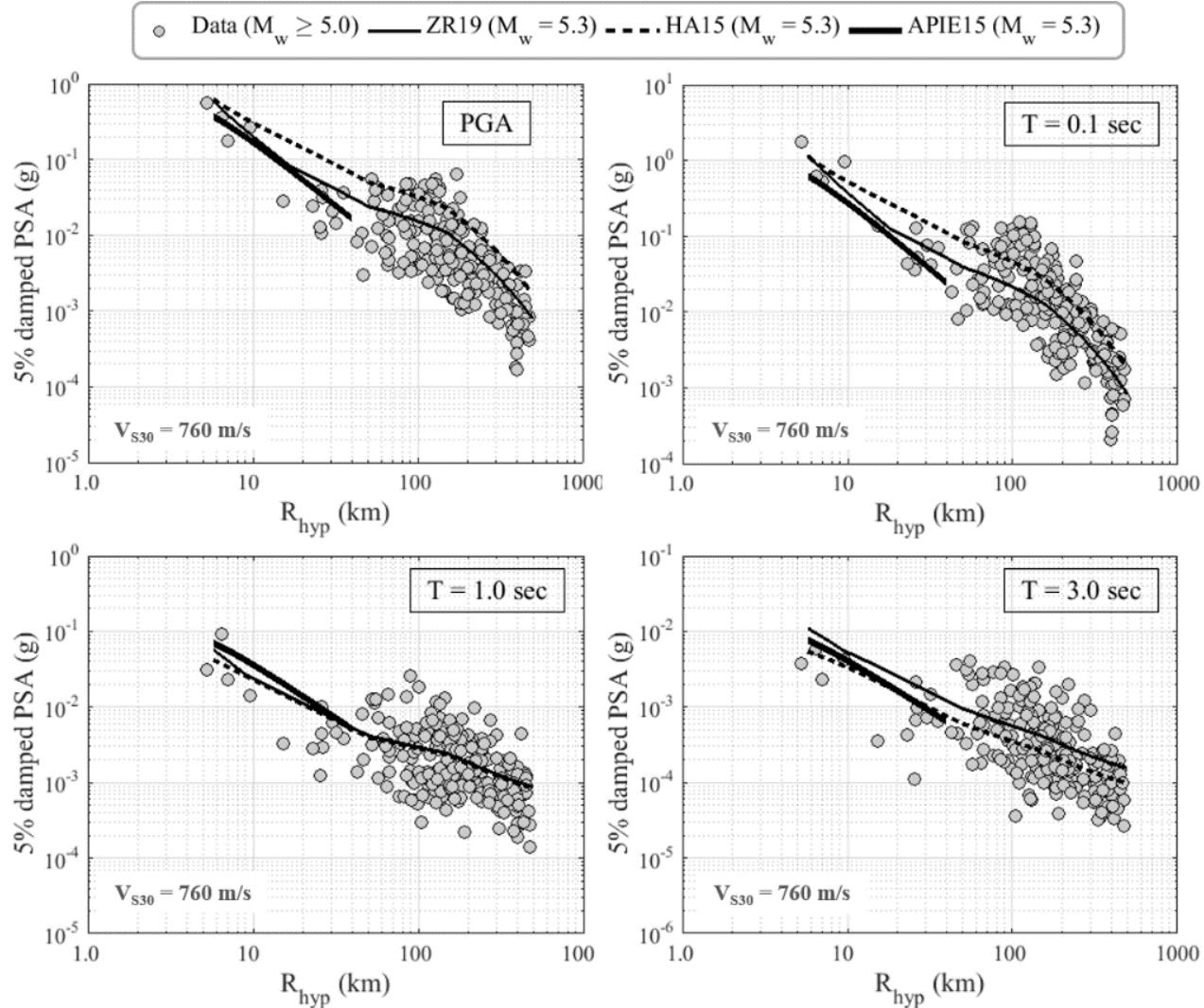
Final Model for Adjustment Factors:

$$\ln F = C + F_R + F_S + F_M \Rightarrow \\ \Rightarrow PSA_{Tx-Ok-Ks} = F \cdot PSA_{HA15}$$

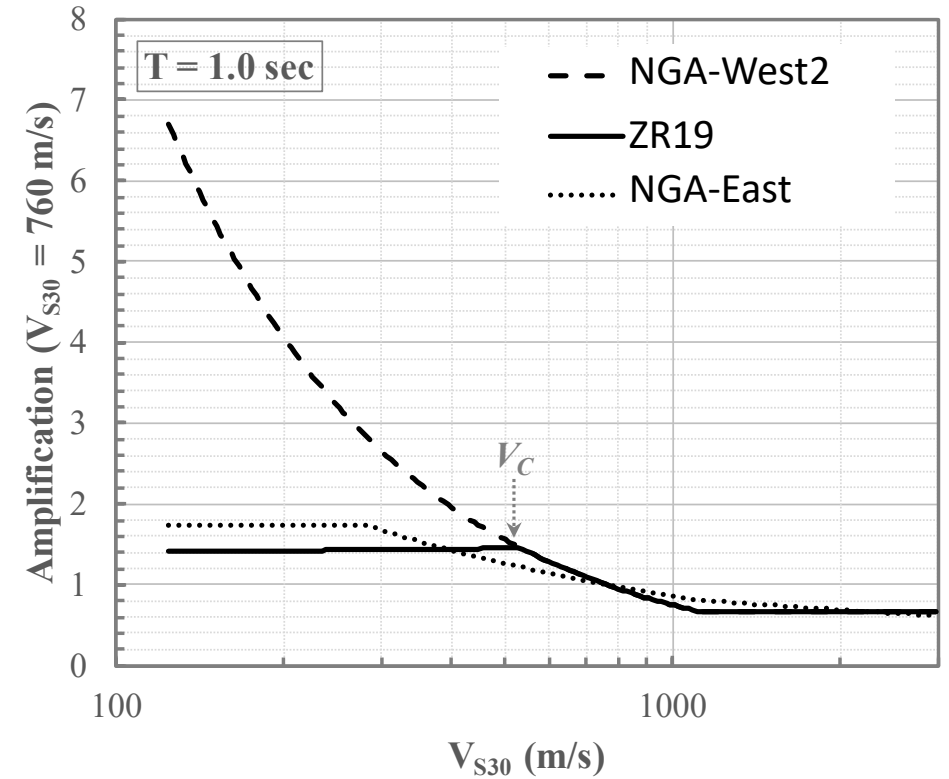


Zalachoris and Rathje (2019) Ground Motion Model

$M \geq 5.0$, $V_{s30} = 760$ m/s

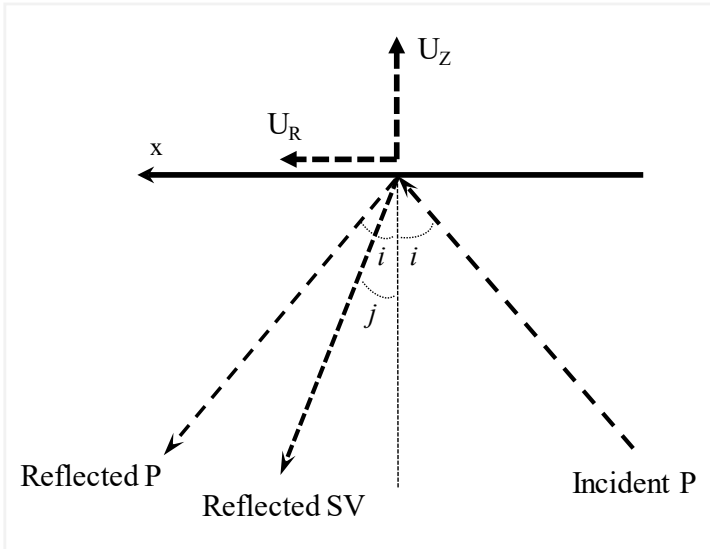


Adjusted V_{s30} Scaling

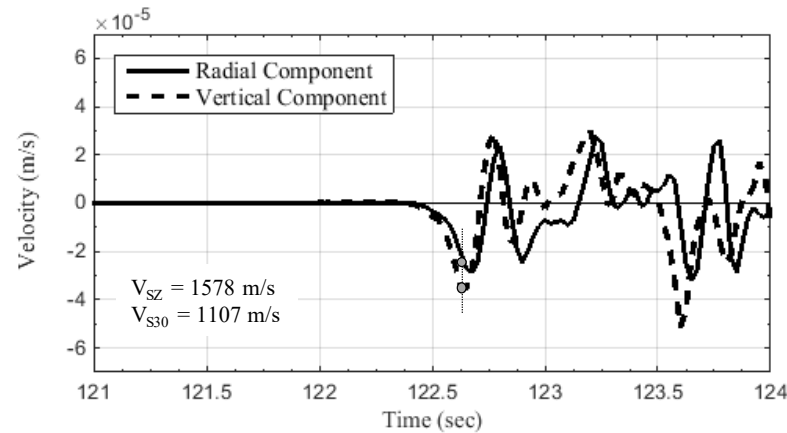


Shear Wave Velocity Characterization

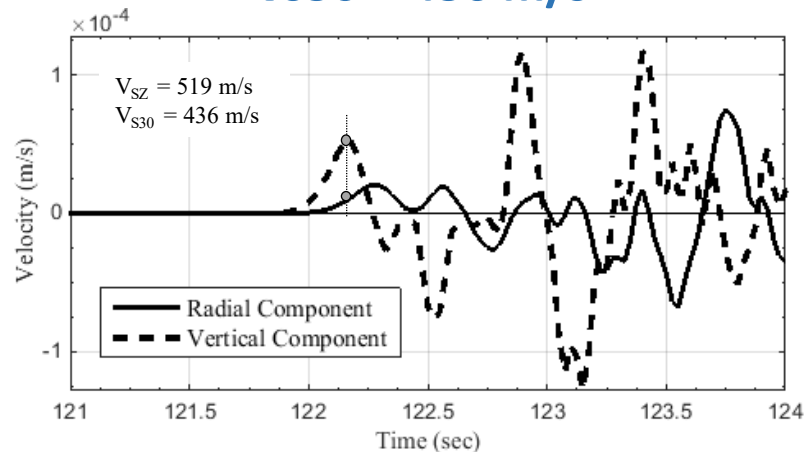
P-wave Seismogram Method



Vs30 = 1107 m/s



Vs30 = 436 m/s

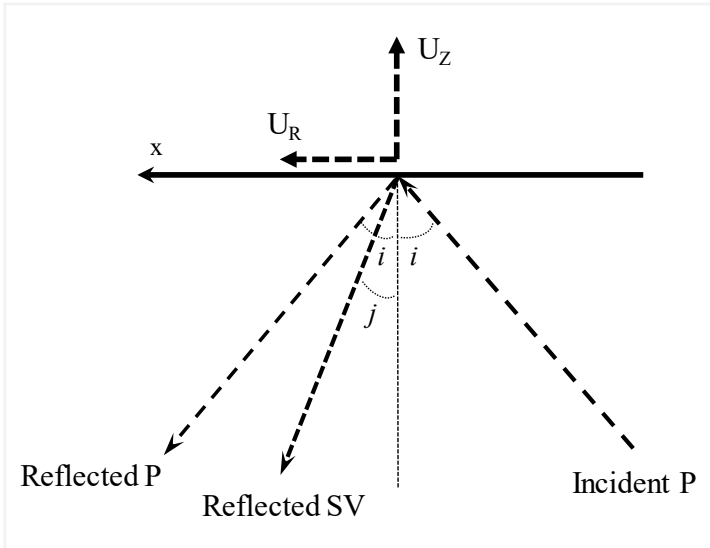


$$V_S = \frac{\cos j \pm \sqrt{\cos^2 j + 2 \left(\frac{\dot{U}_R}{\dot{U}_Z} \right)^2}}{2p \left(\frac{\dot{U}_R}{\dot{U}_Z} \right)}$$

Ni et al. (2014), Kim et al. (2016)

Shear Wave Velocity Characterization

P-wave Seismogram Method

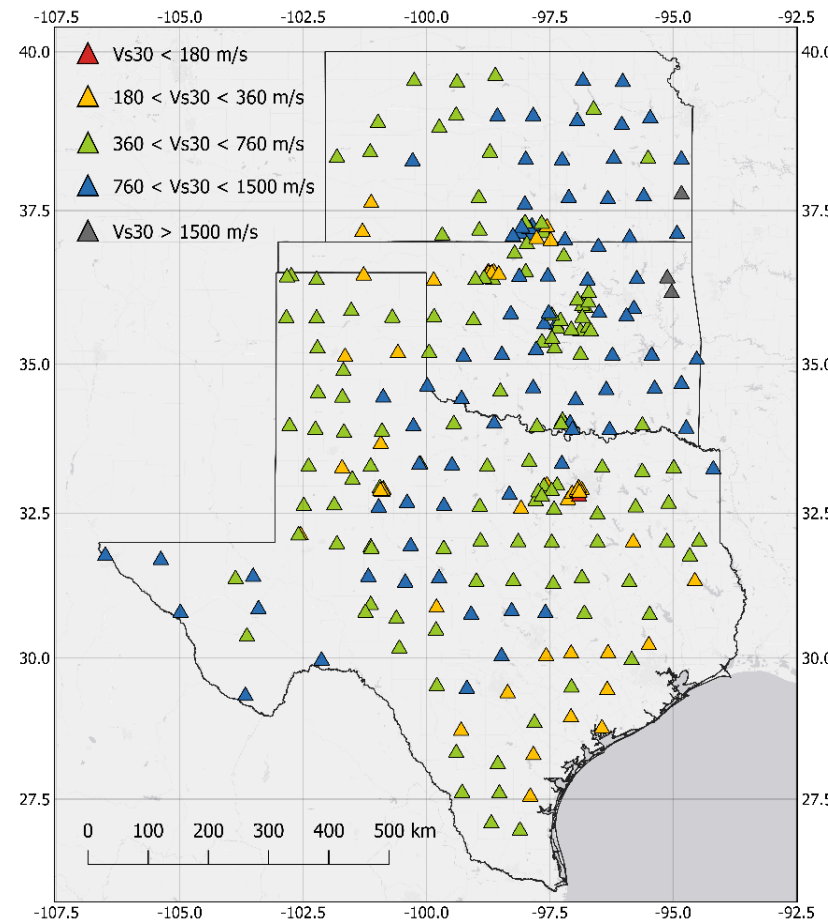


$$V_S = \frac{\cos j \pm \sqrt{\cos^2 j + 2 \left(\frac{\dot{U}_R}{\dot{U}_Z} \right)^2}}{2p \left(\frac{\dot{U}_R}{\dot{U}_Z} \right)}$$

Ni et al. (2014), Kim et al. (2016)

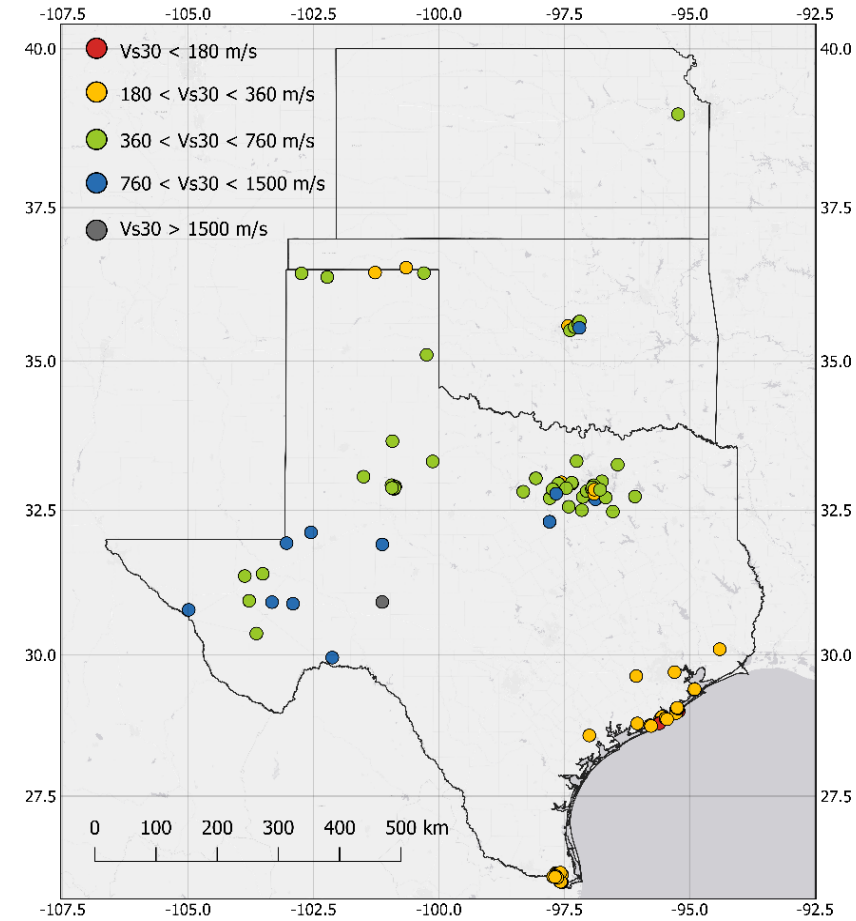
Field Measurements

Vs30 at Recording Stations



Zalachoris et al. (2017) EQ Spectra

In Situ Vs30 Measurements



Performed by Cox and Yust

Development of Comprehensive Vs30 Map

Geologic Proxy for Vs30: Age and Rock Type

Geologic Age	Rock Type	# pts	V _s (m/s)
Quaternary-Holocene (Outside of Gulf Coast)	A/C	11	484
Quaternary-Pleistocene (Outside of Gulf Coast)	A/B/C/D	30	526
Quaternary-Undivided (Outside of Gulf Coast)	A/B/C	18	588
Quaternary-Holocene (In Gulf Coast)	A/C	62	211
Quaternary-Pleistocene (In Gulf Coast)	B/C	7	242
Quaternary-Undivided (In Gulf Coast)	A/B	4	213
Tertiary	B	11	386
	C/D	30	466
	E	1	696
	F	2	838
Mesozoic	B/C/D	42	517
	E	37	765
Paleozoic	D	80	747
	E	12	971
	F	3	1638
Precambrian	F	2	1434

Rock Type Groups

Group A: Alluvial and terrace deposits

Group B: Clay, silt, and loess; not alluvium

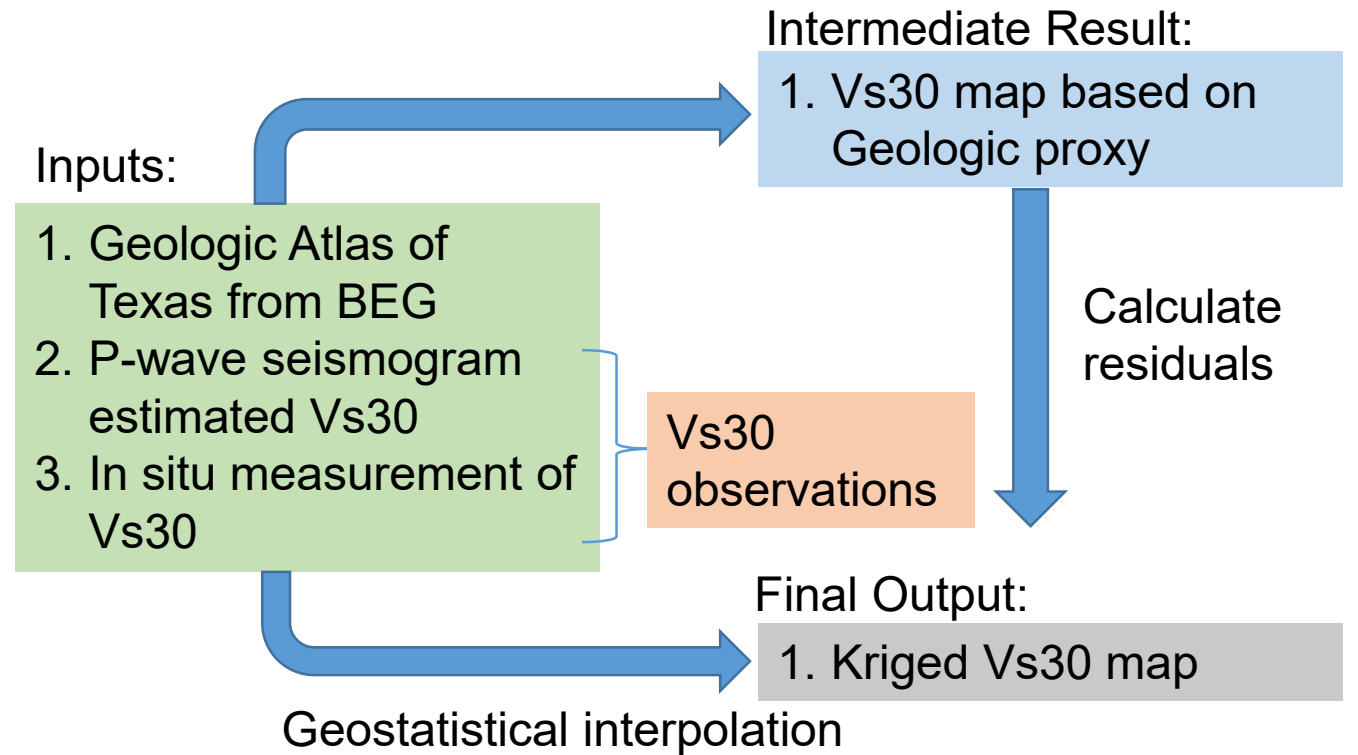
Group C: Sand and gravel; not alluvium.

Group D: Mud/clay/silt/sand stone, conglomerate, marl, and shale

Group E: Limestone and chalk

Group F: Chert, basalt, granite, and rhyolite

Mapping Approach

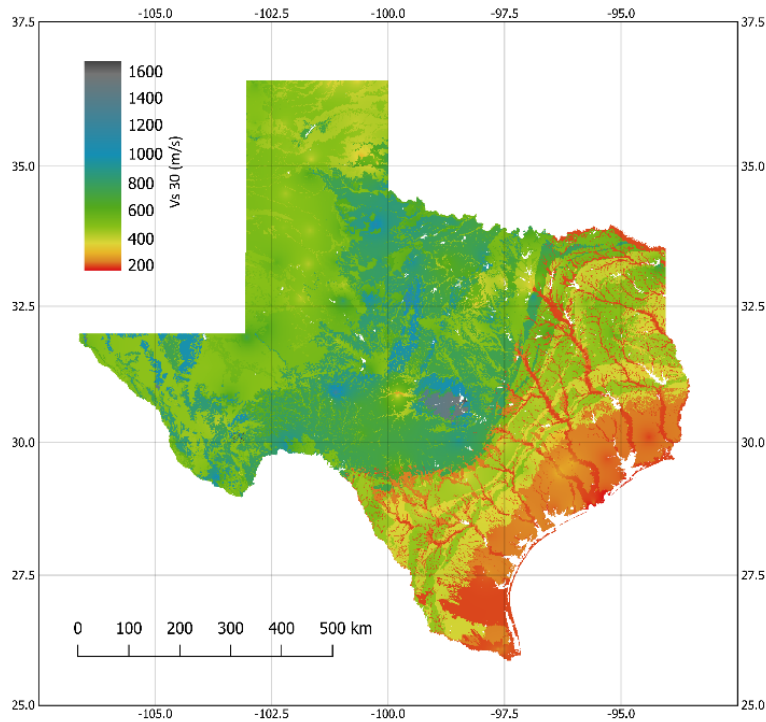


Zalachoris et al. (2017) *EQ Spectra*

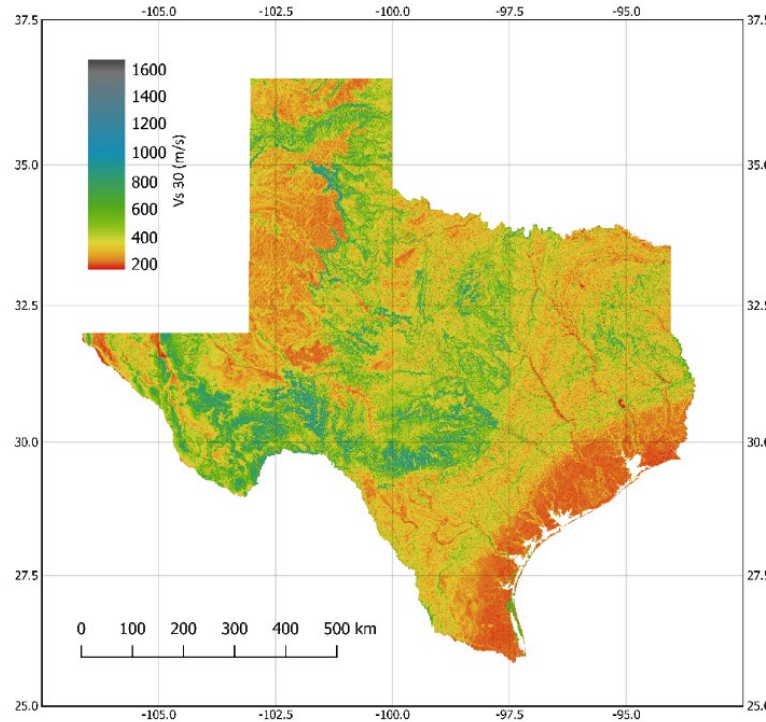
Li et al. (in prep) *EQ Spectra*

Comparison of Vs30 Maps

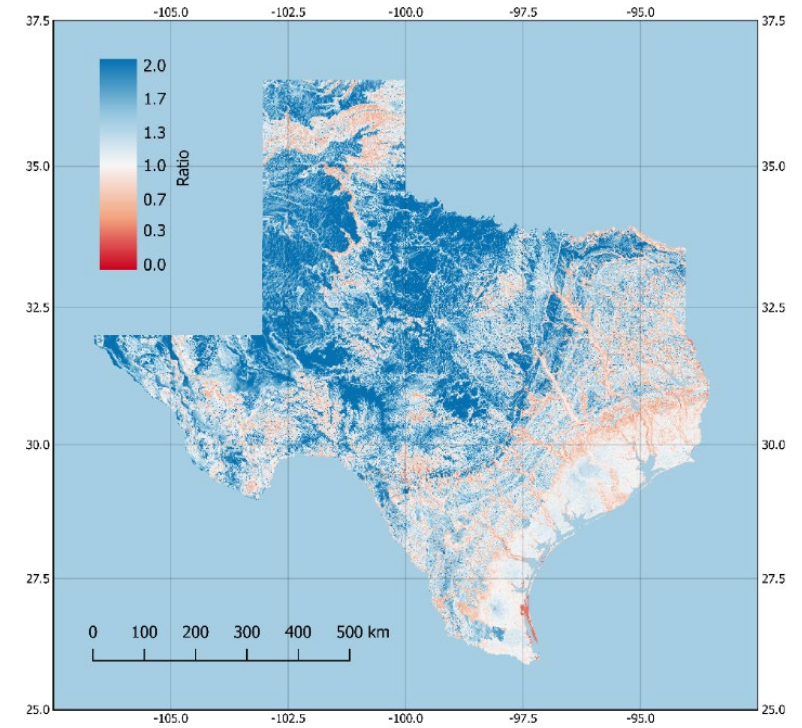
Texas-specific Vs30 Map (This study)



USGS Global Vs30 from Topographic Proxy



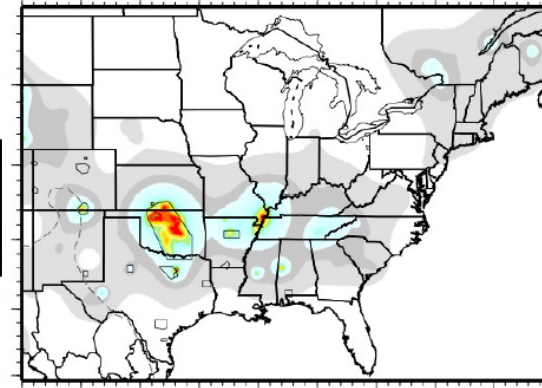
Ratio = Texas/USGS



Seismic Risk Assessment

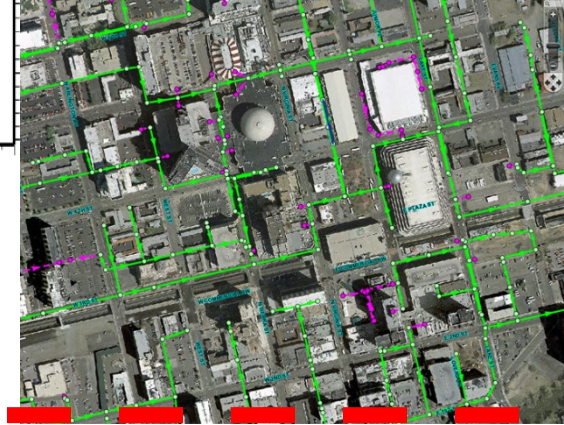
Risk = Hazard

Measure of ground shaking and its probability



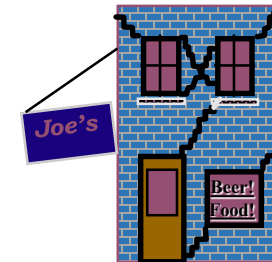
x Exposure

Characterization of built environment and inhabitants



x Fragility

Susceptibility of the exposure to damage/undesirable consequences



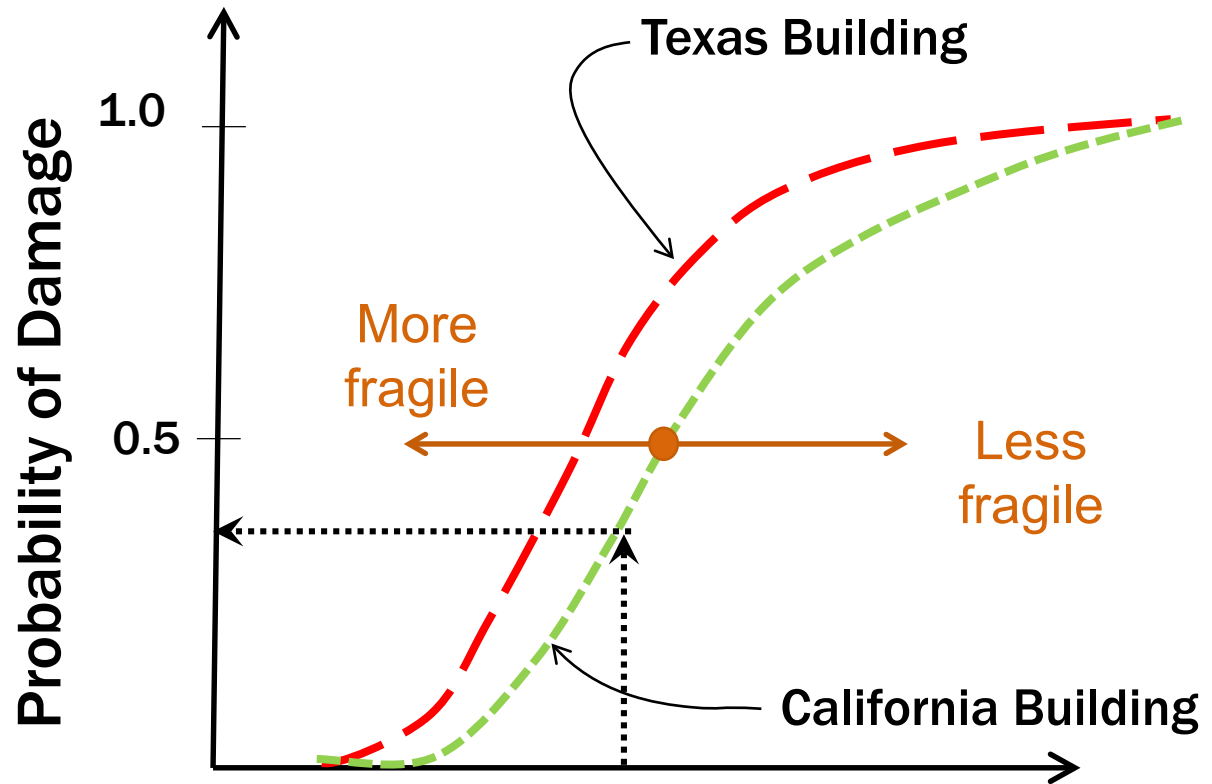
x Consequences

\$\$, number of people adversely affected



Fragility Curves

Used to predict the likelihood of damage for a given ground shaking intensity



Clayton and Khosravikia

Masonry Facades: Effect of Construction Practices

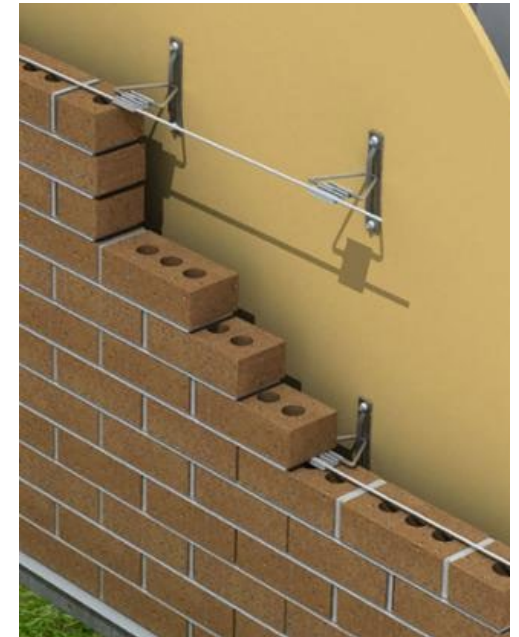
Unreinforced brick:

- Commonly used in Texas
- Known to be vulnerable in earthquakes



In seismically-active areas:

- Brick facades and chimneys are avoided
- When used, more bracing is used to prevent collapse



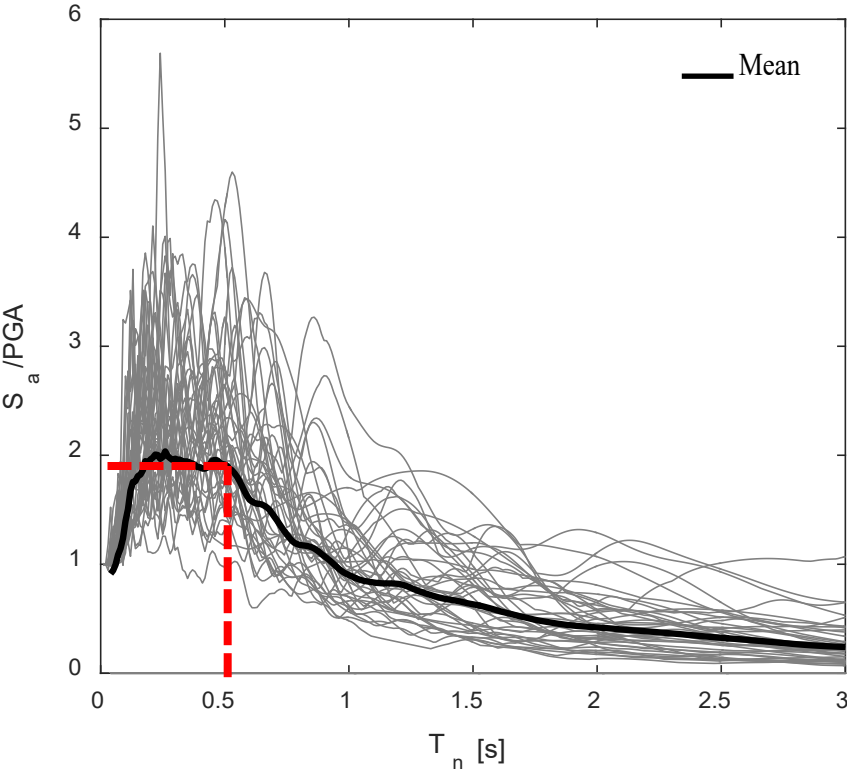
M5.7 Prague, OK
(Nov. 2011)

Fragility curves must reflect local construction practices

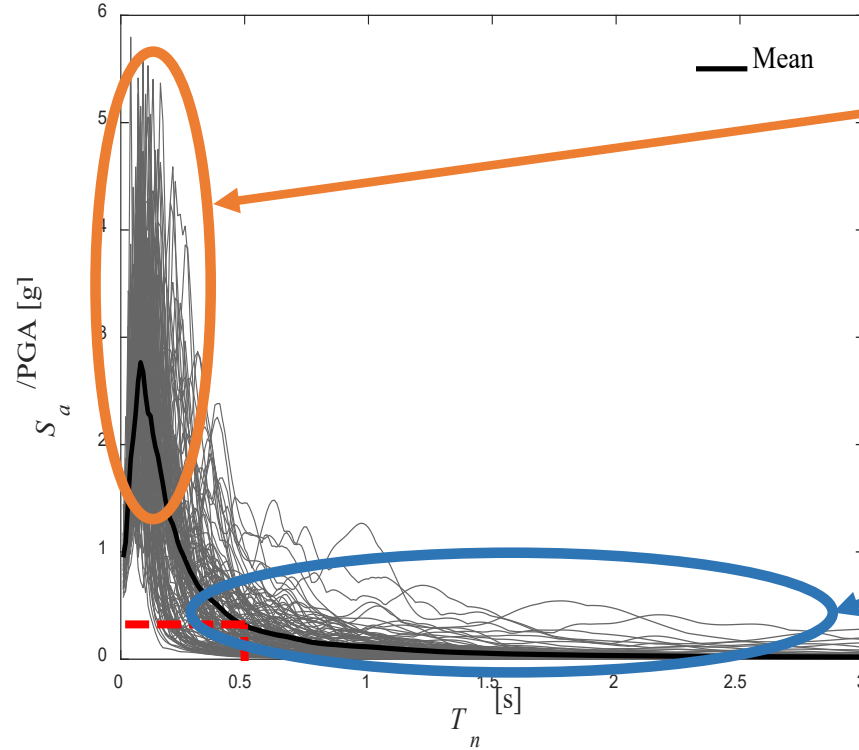
Effect of Ground Motions

Earthquake Frequency Content

“West coast” design motions



Texas/Oklahoma motions

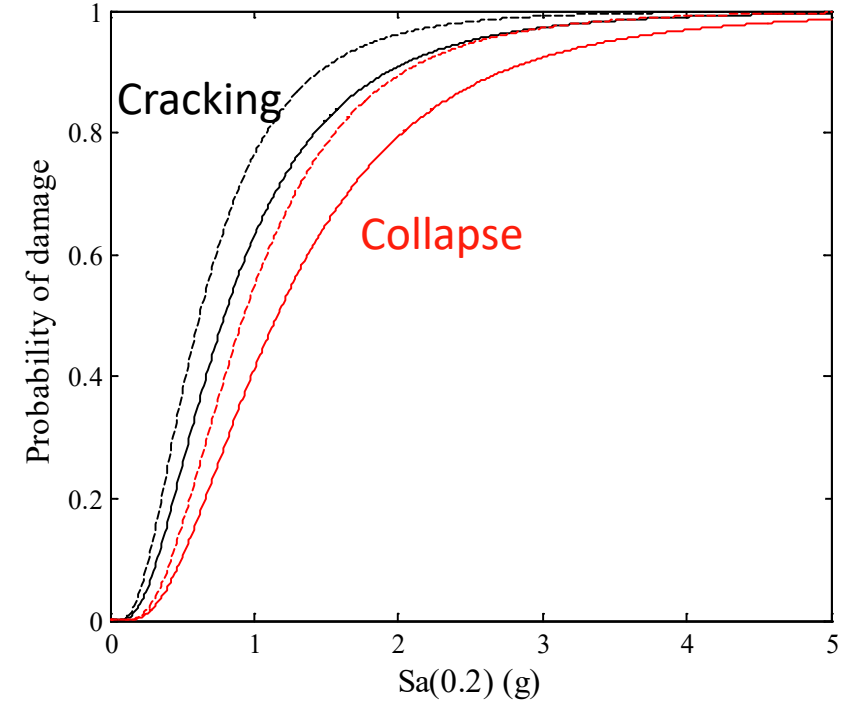
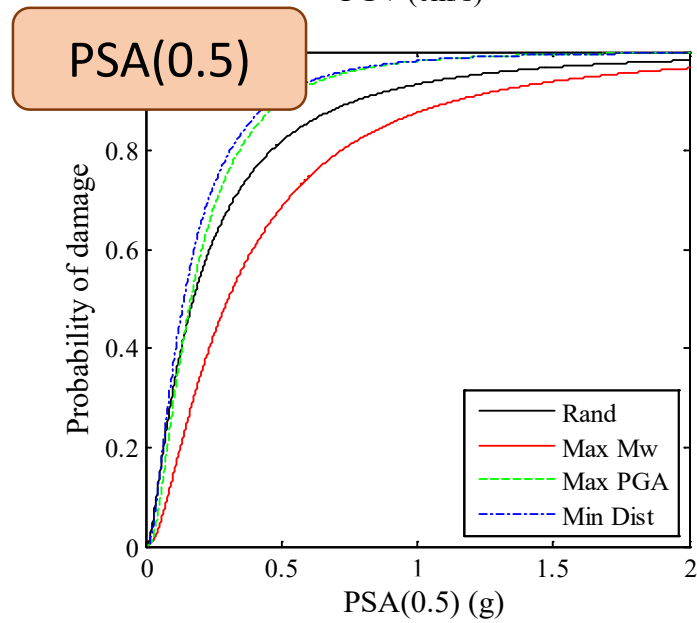
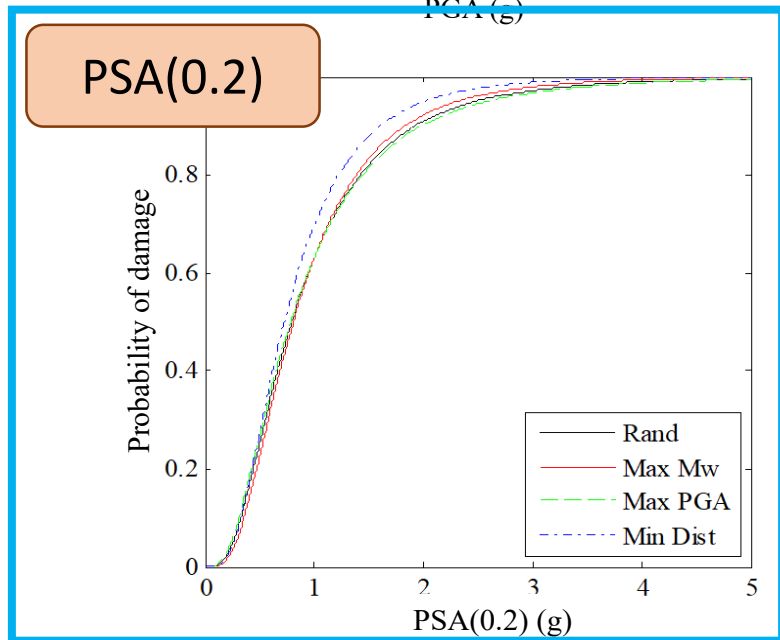
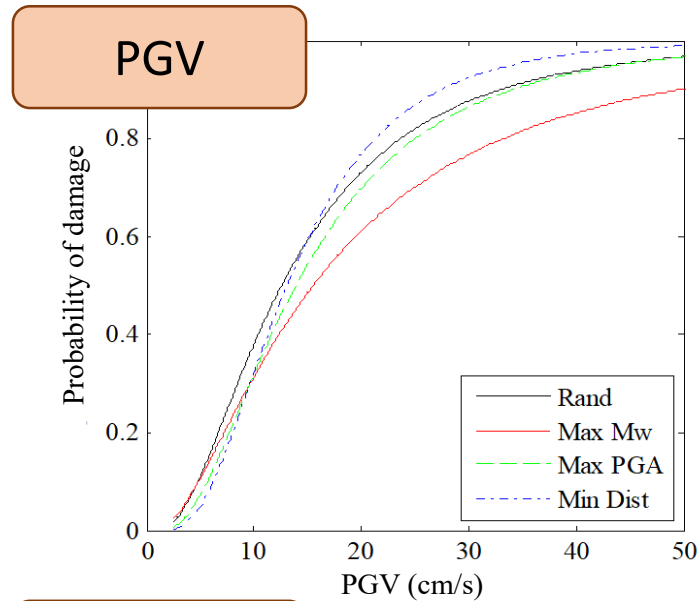
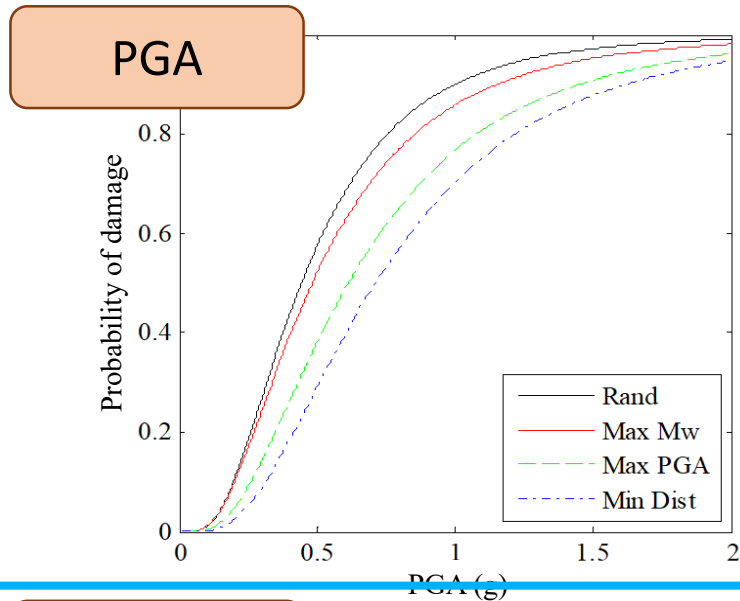


High frequency content = More damage to buildings with low natural period

Low energy for long period waves = Less damage to buildings with higher natural period

Fragility curves must reflect ground motion characteristics

Masonry Façade Fragility: Comparison of intensity measures



Brick Tie Type	Damage State
Code compliant brick ties	Cracking
Code compliant brick ties	Collapse
Thinner ties (more common)	Cracking
Thinner ties (more common)	Collapse

Fragility of Different Types of Infrastructure

Residential Masonry Facades (TexNet - CISR)

(Clayton, Kurkowski, Khosravikia)



2016 M5.8 Pawnee, OK
(source: P. Clayton)

Bridges (TxDOT)

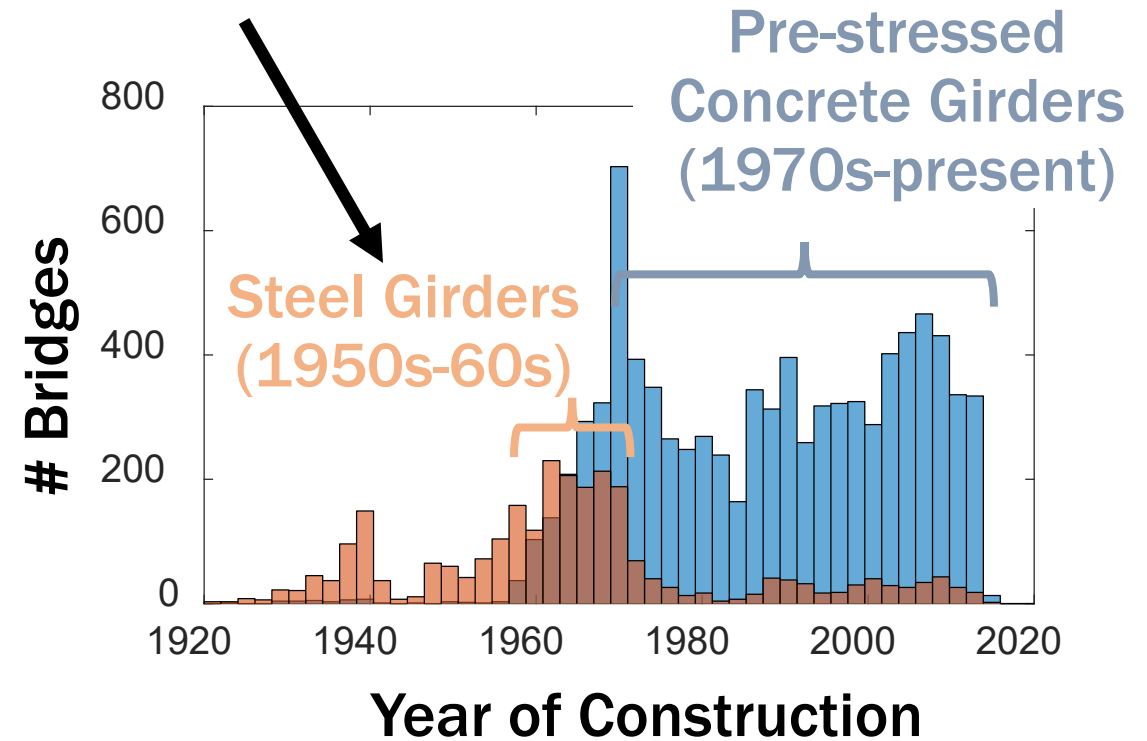
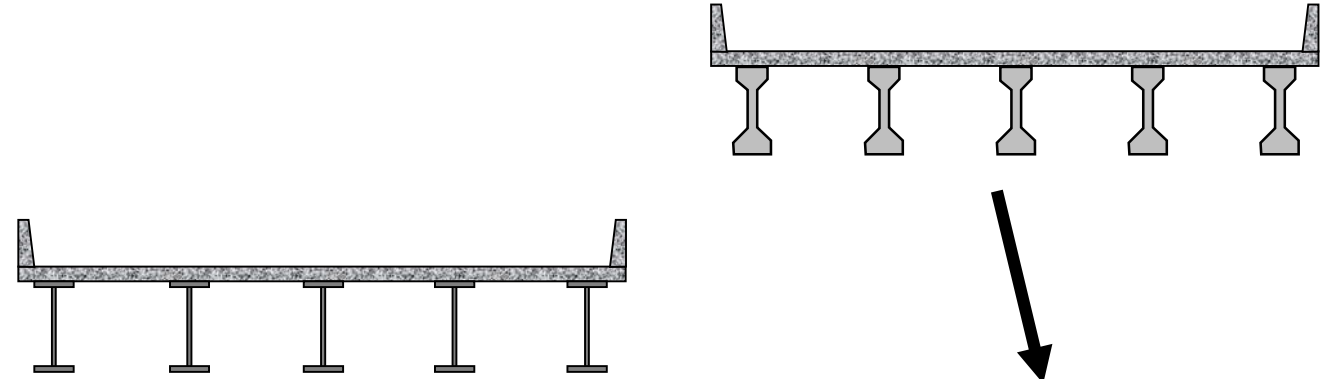
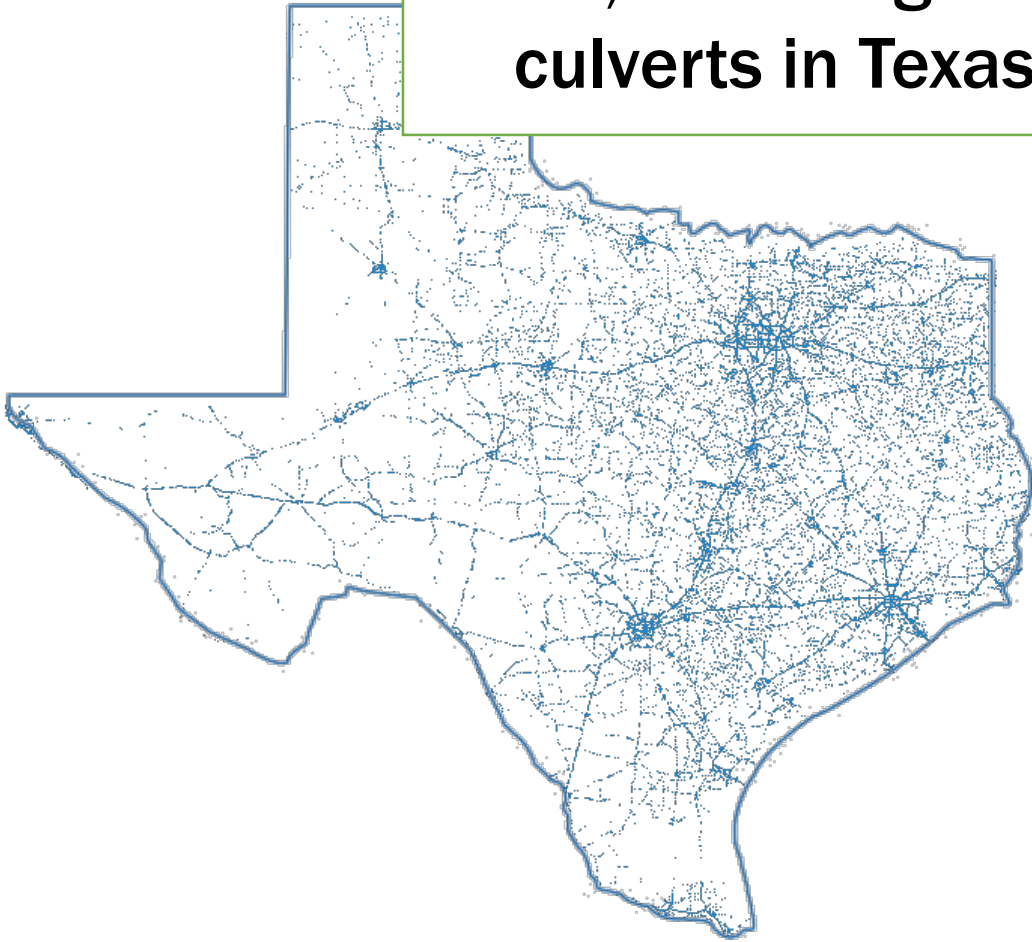
(Clayton, Cox, Rathje, Williamson,
Khosravikia, Potter, Prakhov, Zalachoris)



2016 M5.8 Pawnee, OK
(source: P. Clayton)

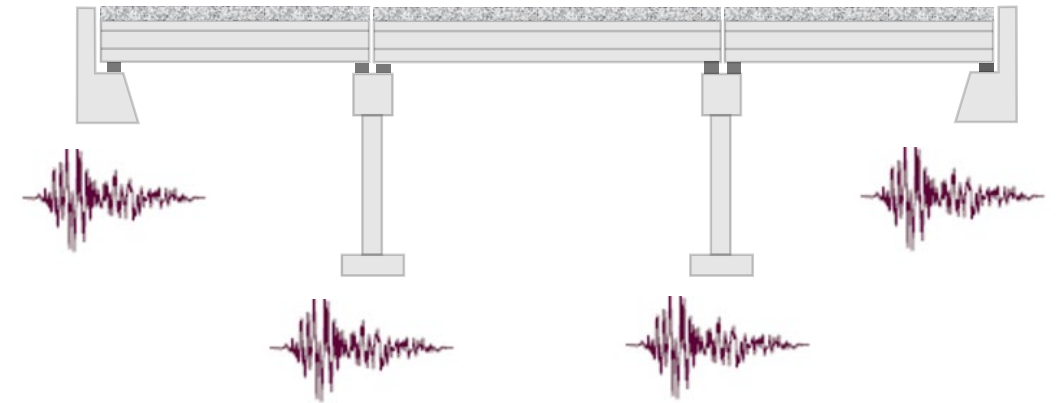
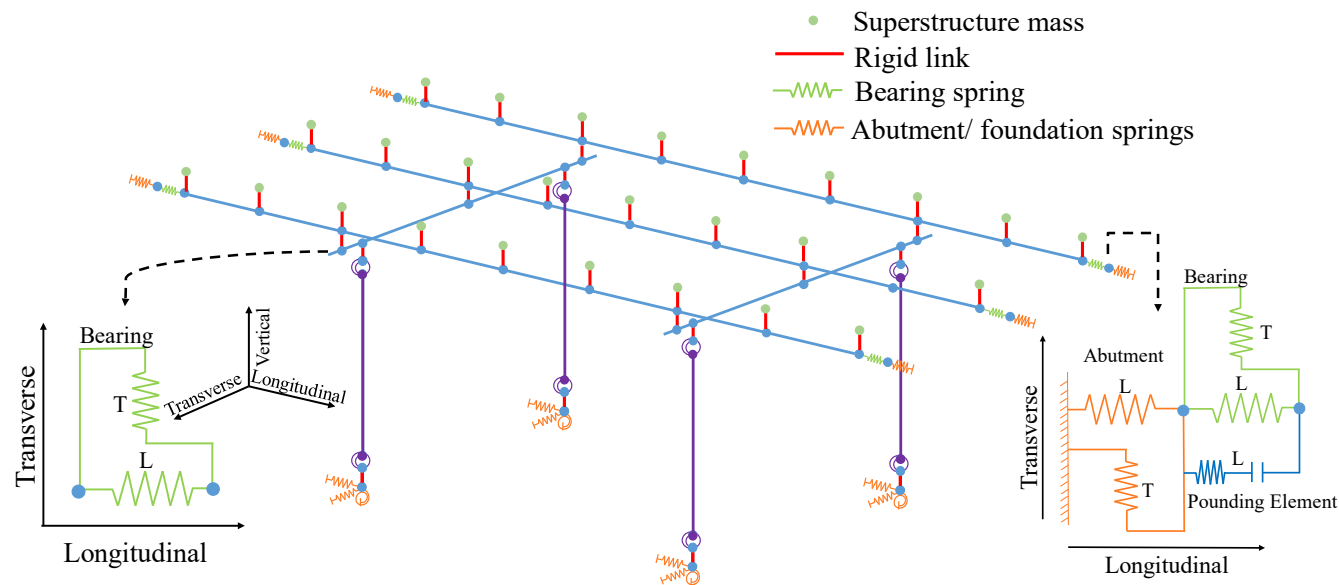
Characterize Bridge Inventory

~53,000 bridges & culverts in Texas



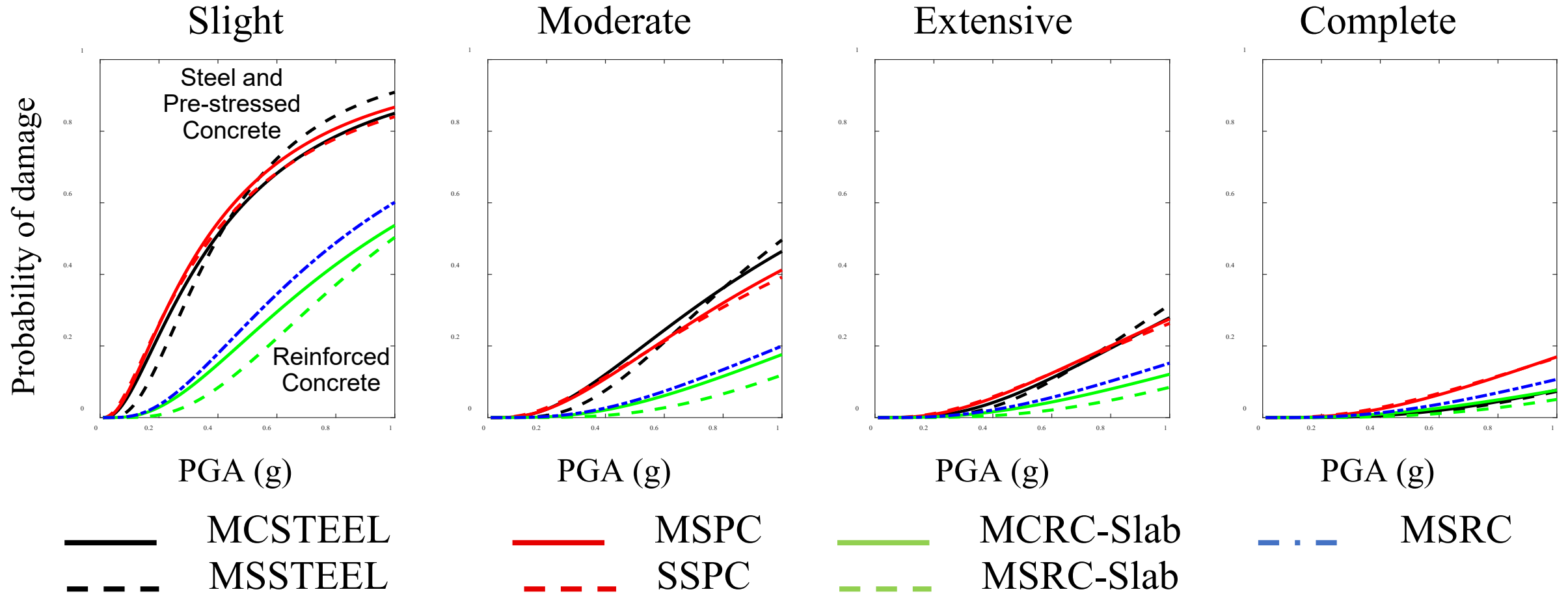
Characterize Bridge Vulnerability

- **Used computer models to simulate:**
 - Different geometries (height, span length, etc.)
 - Different construction materials & designs
 - Wide range of ground motions



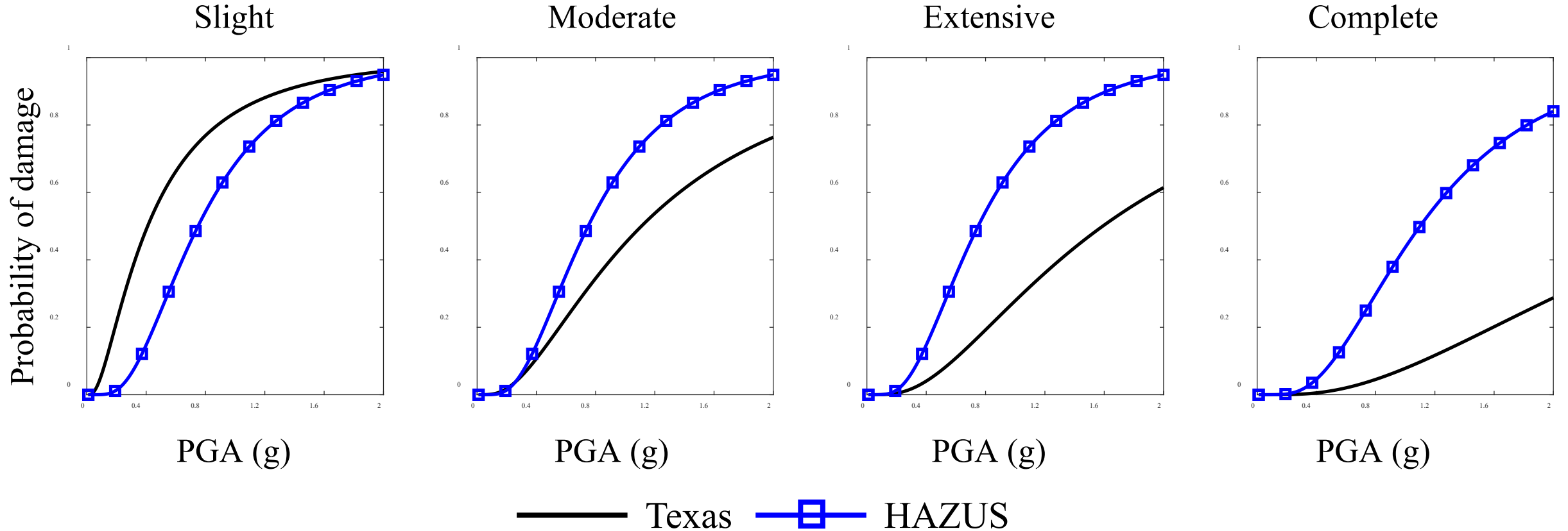
Developed in OpenSees Software

Bridge Fragility Curves in Texas

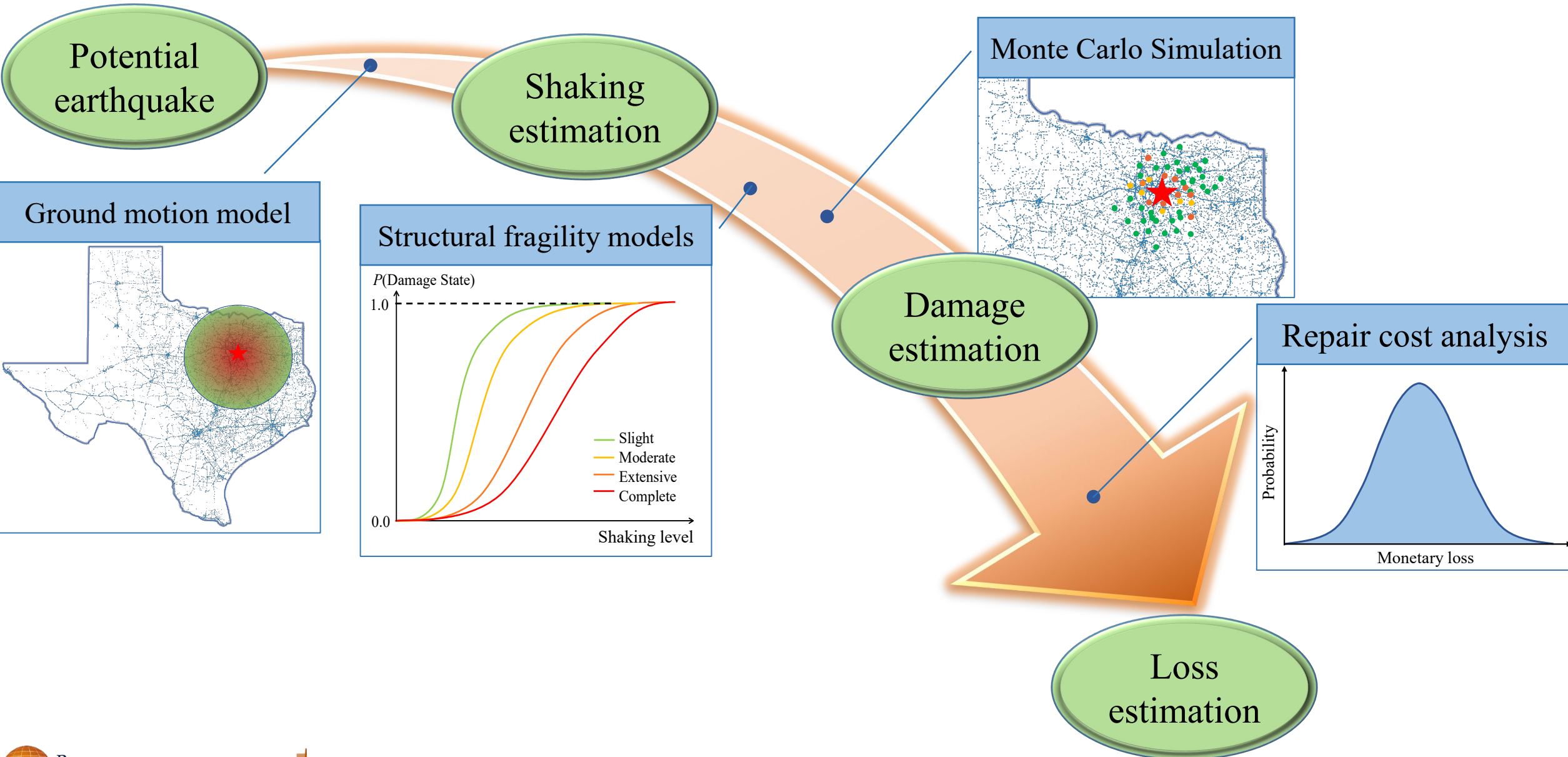


Fragility Comparison

Continuous steel girder bridges

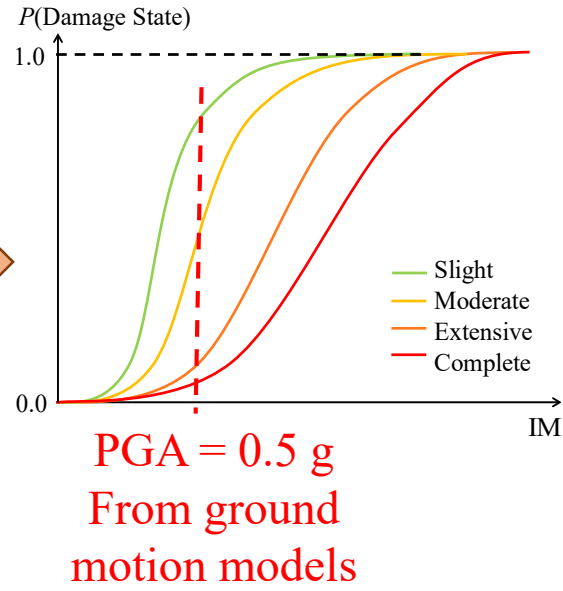
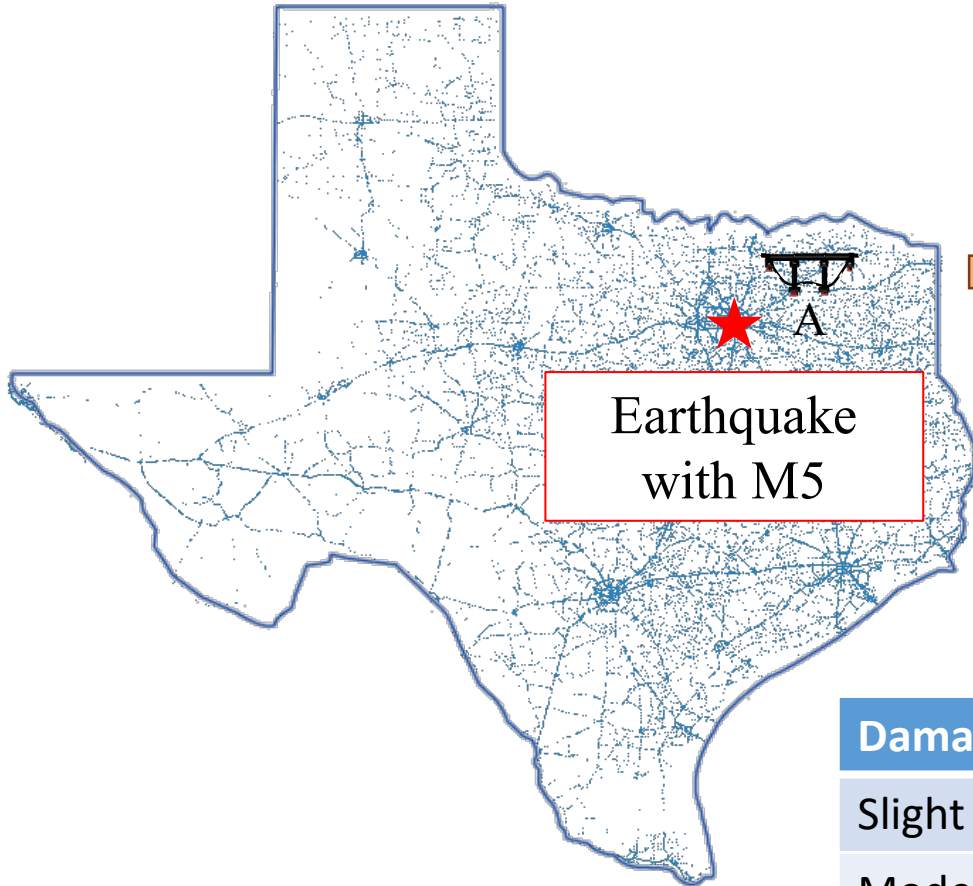


Risk Assessment Framework



Damage Estimation

Hypothetical Earthquake with M5 in Dallas, Texas



Damage	Probability
Slight	0.4
Moderate	0.2
Extensive	0.1
Complete	0.1
No damage	0.2

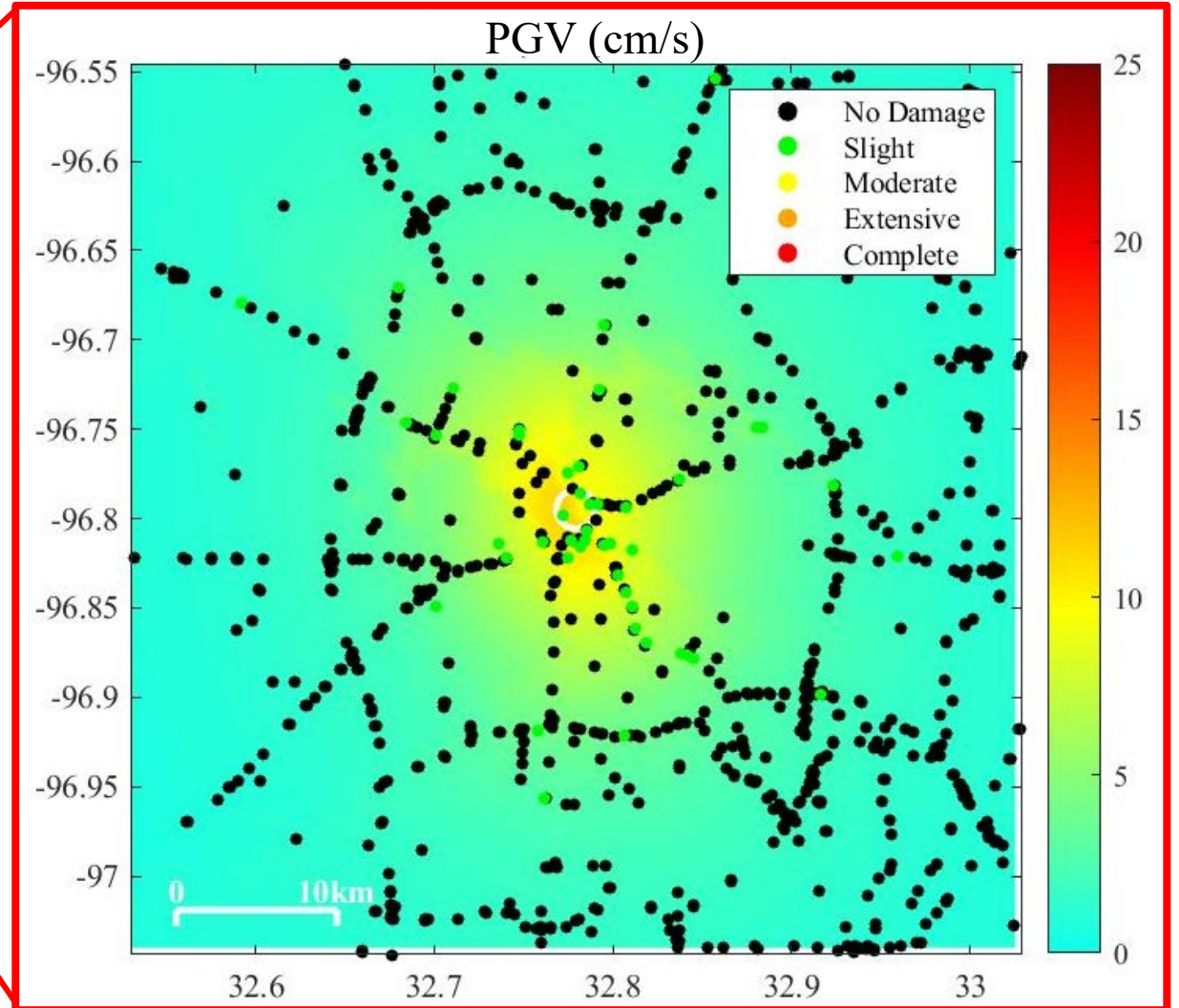
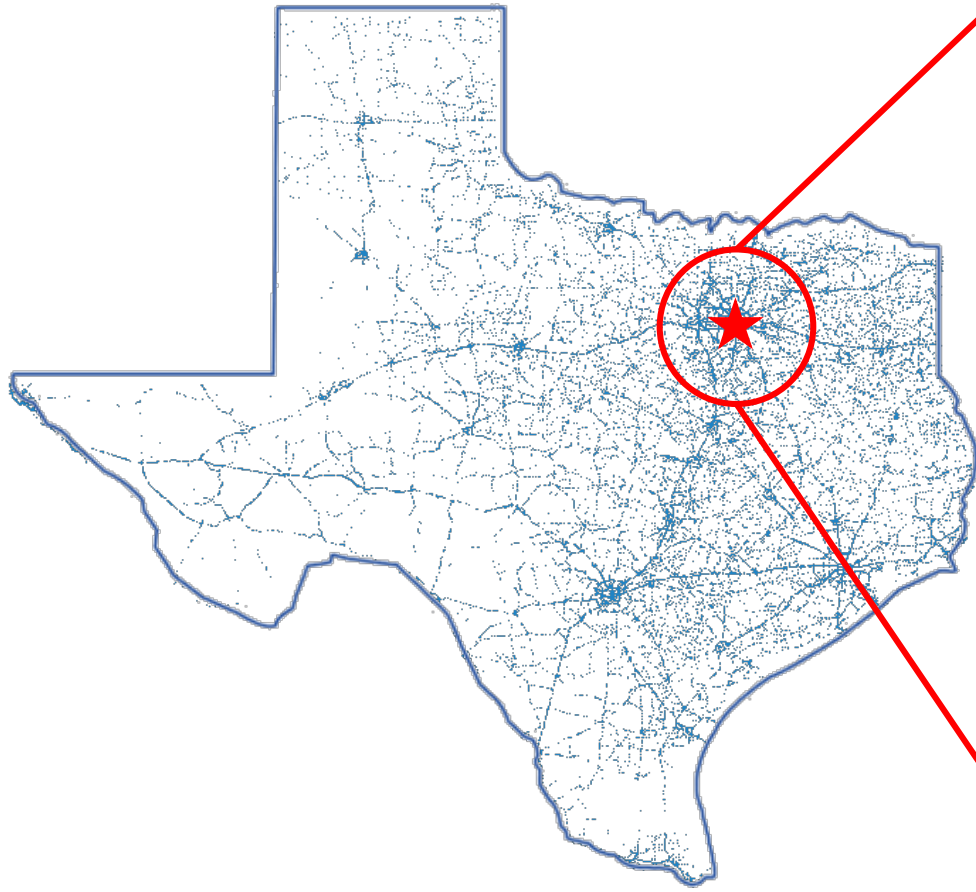
One Realization

Slight damage

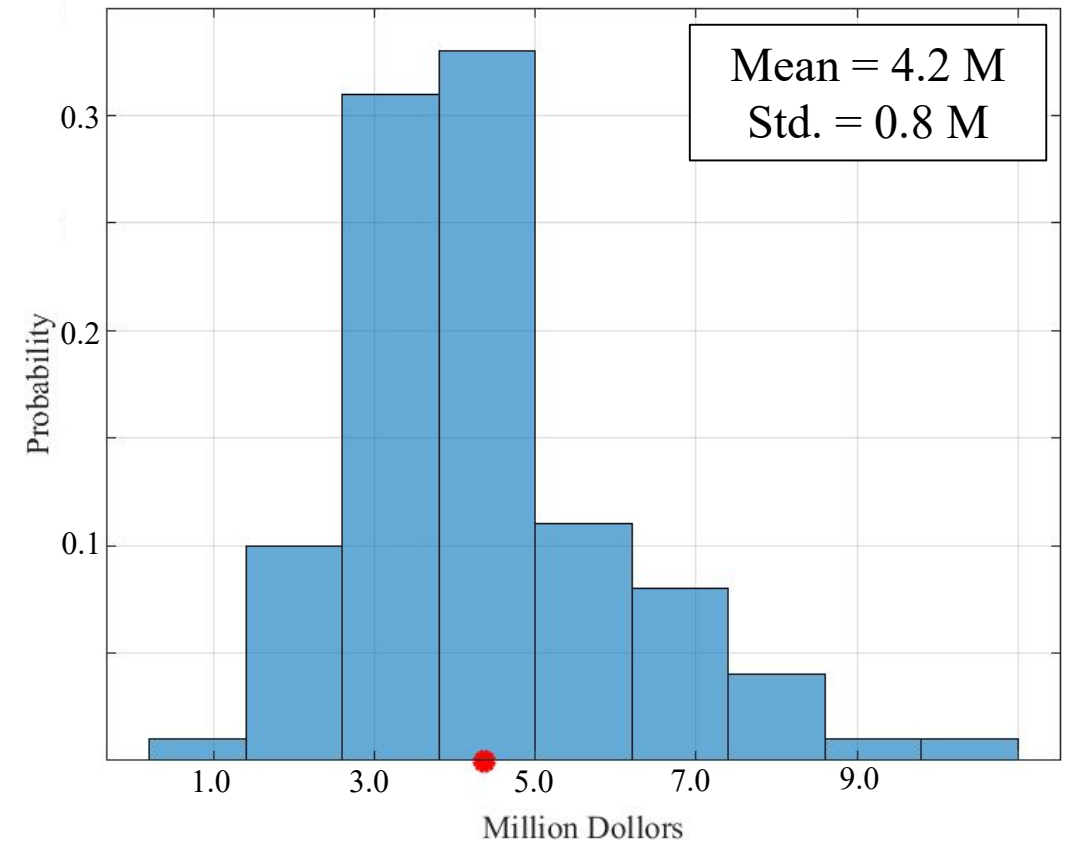
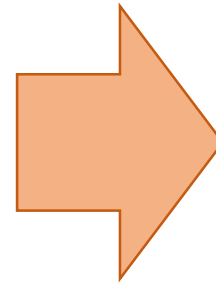
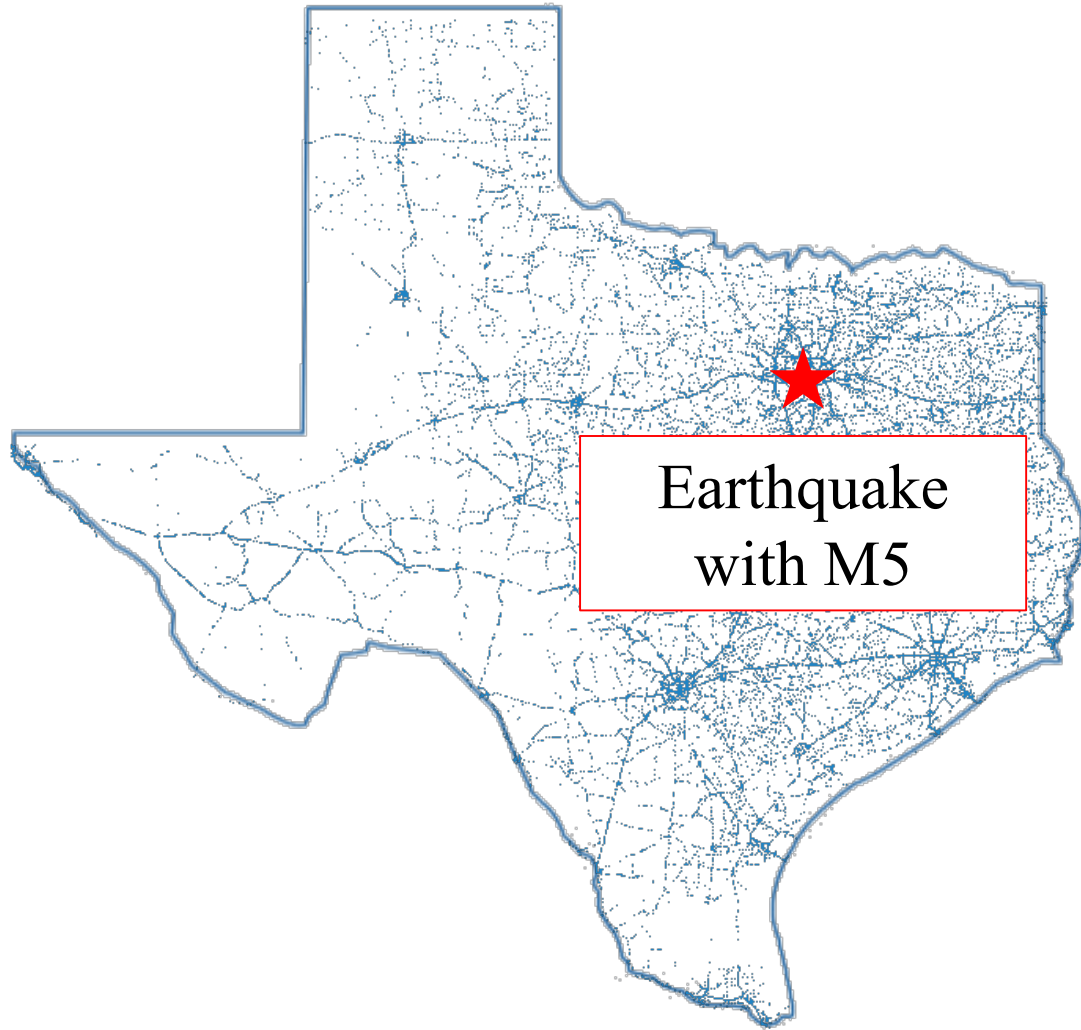
Damage	Repair Cost
Slight	0.03 x Construction Cost
Moderate	0.08 x Construction Cost
Extensive	0.25 x Construction Cost
Complete	1.00 x Construction Cost

Damage Estimation

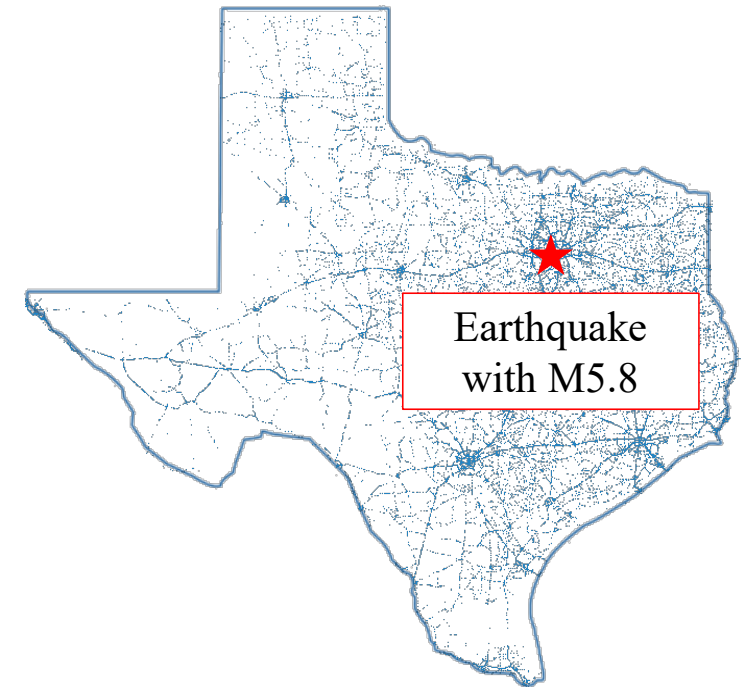
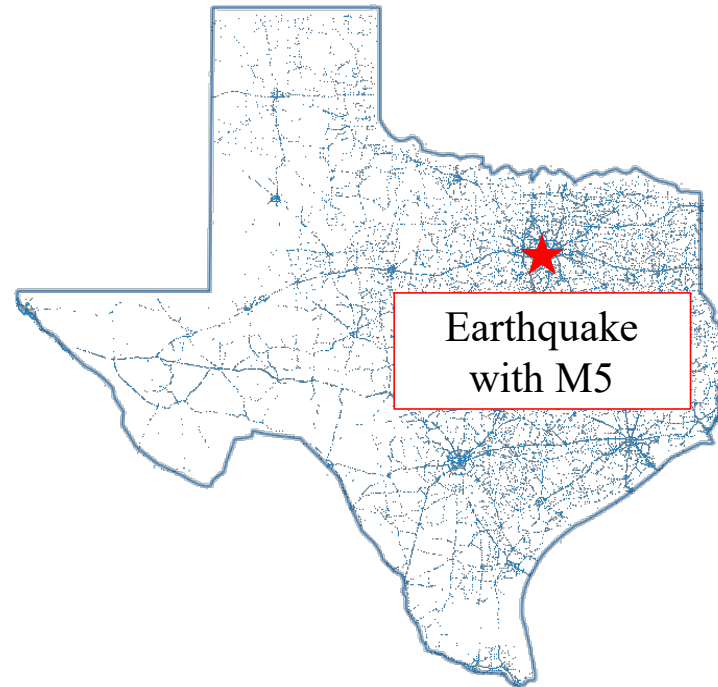
Hypothetical Earthquake with M5 in Dallas, Texas



Loss Estimation



Scenario-based Loss Estimation



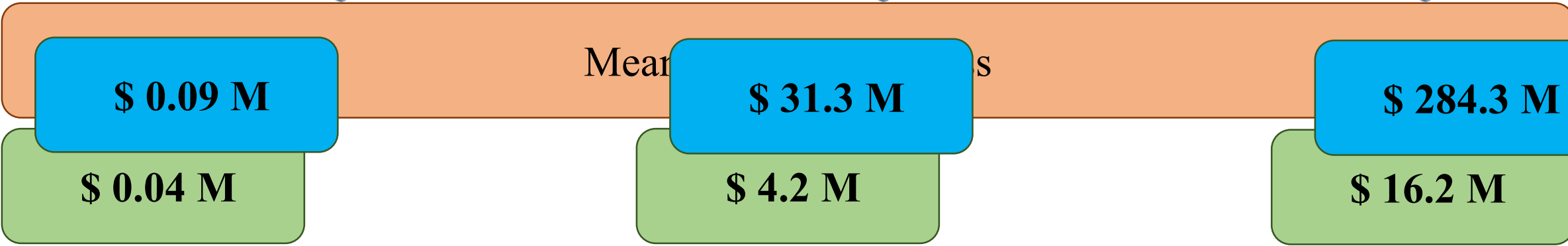
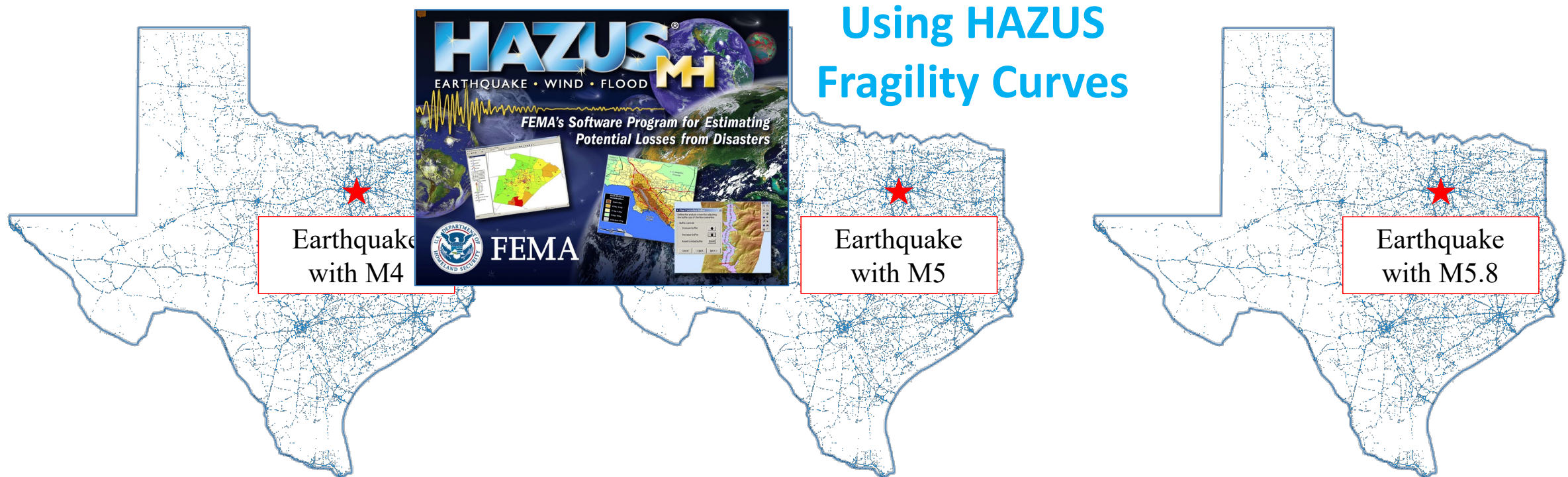
Mean of Monetary Loss

\$ 0.04 M

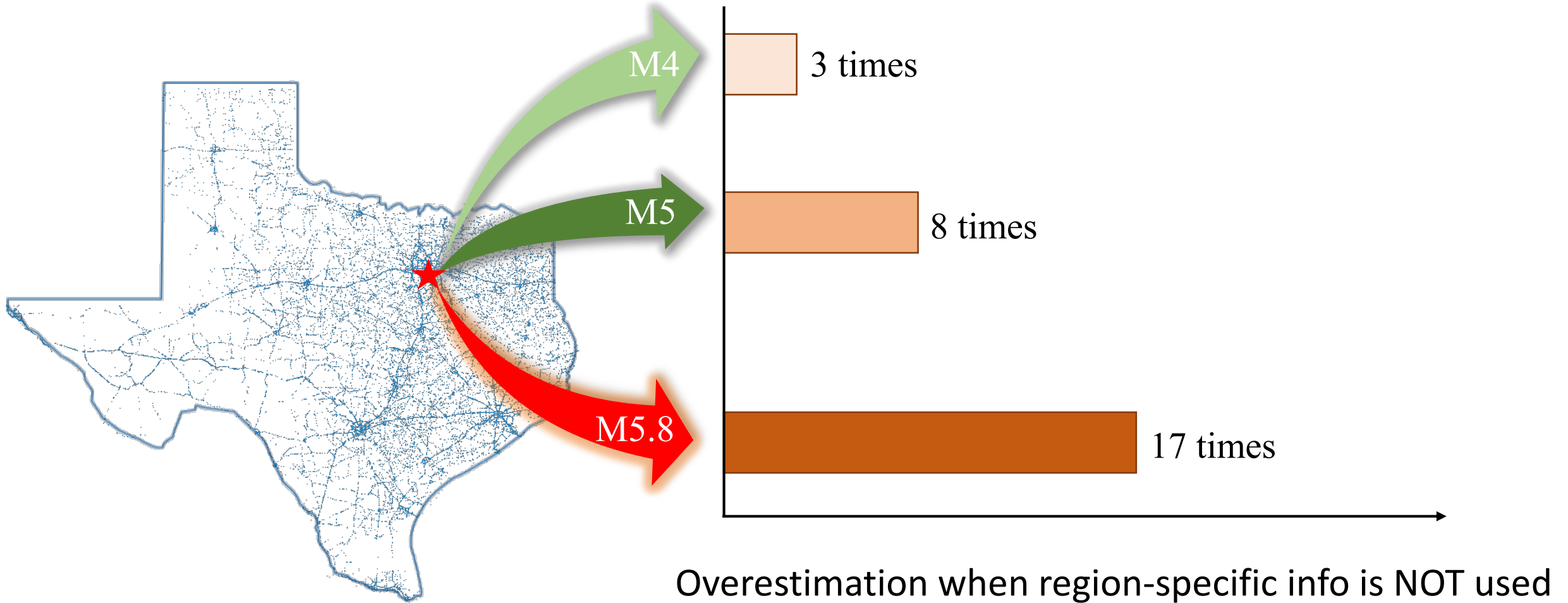
\$ 4.2 M

\$ 16.2 M

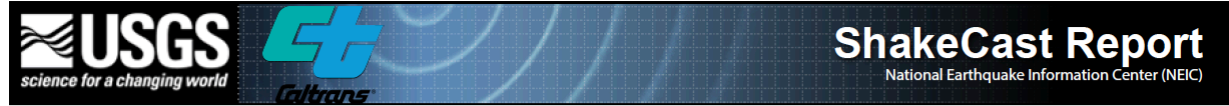
Scenario-based Loss Estimation



Scenario-based Regional Loss Estimates

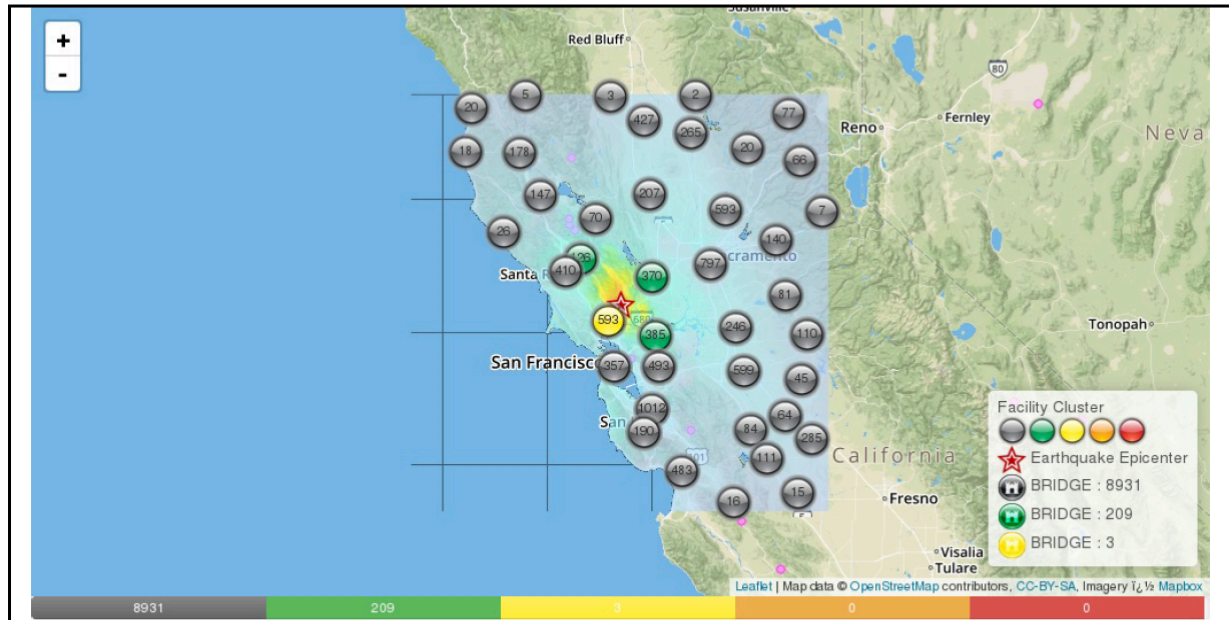


Rapid Post-Event Consequence Assessment



Magnitude 6.02 - NORTHERN CALIFORNIA Version 1
 Origin Time: 2014-08-24 10:20:44 GMT Created: 2018-06-15 20:39:34 GMT
 Latitude: 38.21517 Longitude: -122.31233 Depth: 11.12 km

These results are from an automated system and users should consider the preliminary nature of this information when making decisions relating to public safety. ShakeCast results are often updated as additional or more accurate earthquake information is reported or derived.



Type	ID	Name	Ep. Distance (km)	Inspection Priority	PGA (%g)	PGV (cm/s)	PSA 1s (%g)	MMI	Vs30 (m/s)
BRIDGE	06_21_0098	21_0098 - STANLEY CREEK	4.3	Moderate	40.62	39.65	39.14	VIII	269.477
BRIDGE	06_21_0087	21_0087 - STATE ROUTE 29	8.1	Moderate	39.75	40.02	41.09	VIII	323.411
BRIDGE	06_21C0006	21C0006 - SODA SPRINGS CREEK	15.97	Moderate	22.29	33.05	31.06	VII	331.11
BRIDGE	06_21C0081	21C0081 - CARNEROS CREEK	2.14	Low	41.86	45.24	44.84	VIII	293.382
BRIDGE	06_21C0047	21C0047 - FAGAN CREEK	3.48	Low	33.04	28.63	27.89	VII	298.697
BRIDGE	06_21C0078	21C0078 - HUICHICHA CREEK	3.76	Low	41.78	41.55	40.81	VIII	307.819
BRIDGE	06_21_0049	21_0049 - NVRR NAPA RIVER STANLY	4.06	Low	34.59	38.13	37.43	VIII	219.46

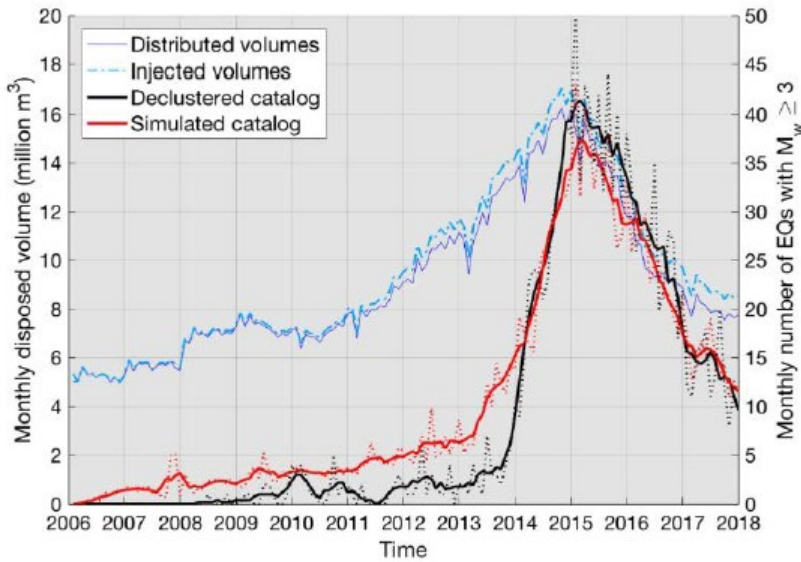
• *TxDOT implementation of ShakeCast software*

- **Input TxDOT bridge inventory & vulnerability (from research)**
- **Automatically retrieves ShakeMap from USGS minutes after event**
 - Integrate new GMM and Vs30 map
- **Real-time report of inspection priorities**
- **Sends notifications to personnel**

(ongoing project funded by TxDOT)

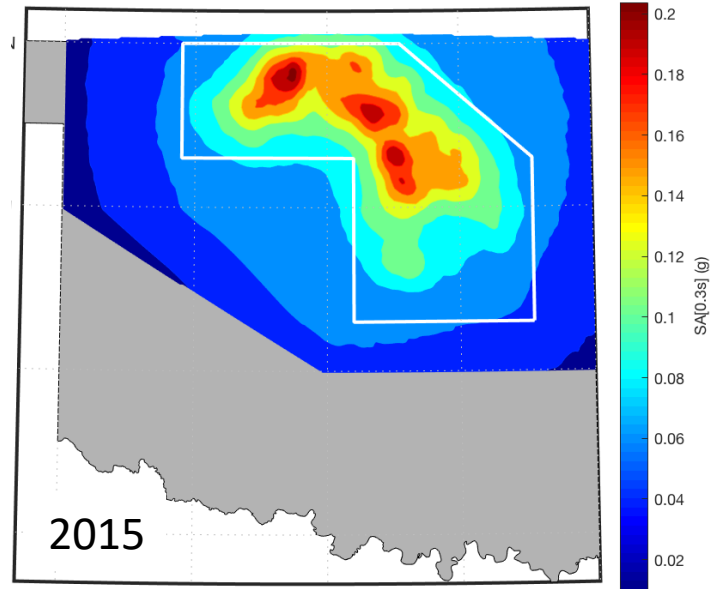
Probabilistic, Time-Dependent Risk Assessment: Oklahoma

Simulated Seismicity

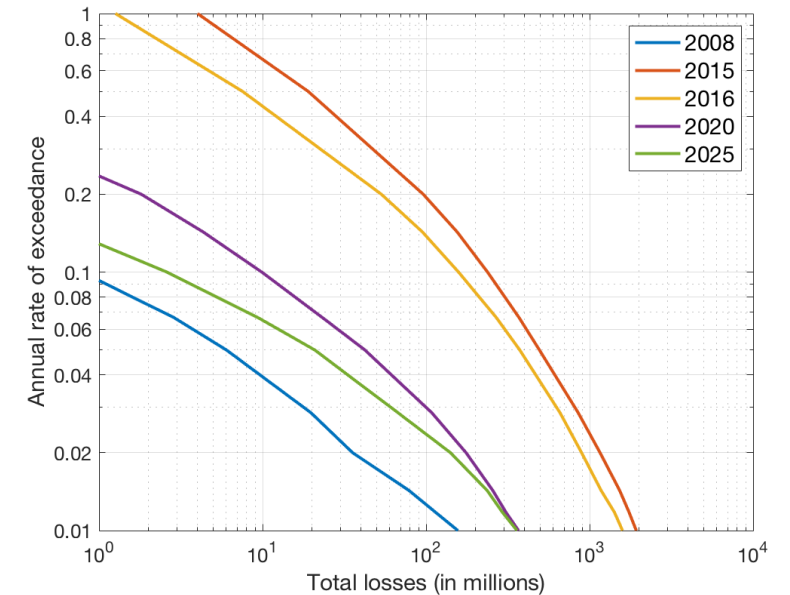


Ground Motion Hazard

10% Annual Probability of Exceedance



Monetary Loss Curves



- Annual seismicity rates from Grigoratos et al. *BSSA* model calibrated through 2017
- After 2017, injection rates assumed constant
- Ground shaking from Zalachoris and Rathje (2019, *EQS*) GMM
- Event-based annual PSHA from 10,000 simulations using OpenQuake (*GEM Foundation*)
- Building inventory from 2010 census and 2018 replacement costs
- Fragility curves for “low-code” buildings in the US (from *GEM/USGS*)

Conclusions

- Seismic hazard and risk approaches for tectonic earthquakes can be adapted for induced earthquakes
- Key improvements required:
 - Semi-empirical models to forecast spatial and temporal variations in seismicity
 - Ground motion models for induced earthquakes in the region of interest
 - Detailed Vs30 maps using regional/local data
 - Fragility models to predict infrastructure damage for the expected ground shaking characteristics and local construction practices